Prevention of **Falls and Fall Injuries** in the Older Adult
Greetings from Doris Grinspun
Executive Director
Registered Nurses’ Association of Ontario

It is with great excitement that the Registered Nurses’ Association of Ontario disseminates this revised nursing best practice guideline to you. Evidence-based practice supports the excellence in service that nurses are committed to deliver in our day-to-day practice. The RNAO is committed to ensuring that the evidence supporting guideline recommendations is the best available, and this guideline has been recently reviewed and revised to reflect the current state of knowledge.

We offer our endless thanks to the many institutions and individuals that are making RNAO’s vision for Nursing Best Practice Guidelines (NBPG) a reality. The Government of Ontario recognized RNAO’s ability to lead this program and is providing multi-year funding. Tazim Virani – NBPG program director – with her fearless determination and skills, is moving the program forward faster and stronger than ever imagined. The nursing community, with its commitment and passion for excellence in nursing care, is providing the knowledge and countless hours essential to the creation, evaluation and revision of each guideline. Employers have responded enthusiastically by getting involved in nominating best practice champions, implementing and evaluating the NBPG and working towards an evidence-based practice culture.

Now comes the true test in this phenomenal journey: will nurses utilize the guidelines in their day-to-day practice?

Successful uptake of these NBPG requires a concerted effort of four groups: nurses themselves, other healthcare colleagues, nurse educators in academic and practice settings, and employers. After lodging these guidelines into their minds and hearts, knowledgeable and skillful nurses and nursing students need healthy and supportive work environments to help bring these guidelines to life.

We ask that you share this NBPG, and others, with members of the interdisciplinary team. There is much to learn from one another. Together, we can ensure that Ontarians receive the best possible care every time they come in contact with us. Let’s make them the real winners of this important effort!

RNAO will continue to work hard at developing, evaluating and ensuring current evidence for all future guidelines. We wish you the best for a successful implementation!

Doris Grinspun, RN, MSN, PhD(cand), OOnt

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Prevention of Falls and Fall Injuries in the Older Adult

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Declarations of interest and confidentiality were requested from all members of the guideline revision panel.
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Evaluation Team Co-Investigators: Dr. Maureen Dobbins, Dr. Jenny Ploeg, Dr. Jennifer Skelly – McMaster University
                      Dr. Patricia Griffin – University of Ottawa

RNAO also wishes to acknowledge Valleyview Home for the Aged in St. Thomas, Ontario, for its role in the pilot testing of the guideline during its initial development.
Prevention of Falls and Fall Injuries in the Older Adult

Disclaimer
These best practice guidelines are related only to nursing practice and not intended to take into account fiscal efficiencies. These guidelines are not binding for nurses and their use should be flexible to accommodate client/family wishes and local circumstances. They neither constitute a liability nor discharge from liability. While every effort has been made to ensure the accuracy of the contents at the time of publication, neither the authors nor RNAO give any guarantee as to the accuracy of the information contained in them nor accept any liability, with respect to loss, damage, injury or expense arising from any such errors or omission in the contents of this work. Any reference throughout the document to specific pharmaceutical products as examples does not imply endorsement of any of these products.

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How to Use this Document

This nursing best practice guideline is a comprehensive document providing resources necessary for the support of evidence-based nursing practice. The document needs to be reviewed and applied, based on the specific needs of the organization or practice setting/environment, as well as the needs and wishes of the client. Guidelines should not be applied in a “cookbook” fashion but used as a tool to assist in decision making for individualized client care, as well as ensuring that appropriate structures and supports are in place to provide the best possible care.

Nurses, other health care professionals and administrators who are leading and facilitating practice changes will find this document valuable for the development of policies, procedures, protocols, educational programs, assessment and documentation tools, etc. It is recommended that the nursing best practice guidelines be used as a resource tool. It is neither necessary nor practical to have every nurse have a copy of the entire guideline. Nurses providing direct client care will benefit from reviewing the recommendations, the evidence in support of the recommendations and the process that was used to develop the guidelines. However, it is highly recommended that practice settings/environments adapt these guidelines in formats that would be user-friendly for daily use. This guideline has some suggested formats for such local adaptation and tailoring.

Organizations wishing to use the guideline may decide to do so in a number of ways:
- Assess current nursing and health care practices using the recommendations in the guideline.
- Identify recommendations that will address identified needs or gaps in services.
- Systematically develop a plan to implement the recommendations using associated tools and resources.

RNAO is interested in hearing how you have implemented this guideline. Please contact us to share your story. Implementation resources will be made available through the RNAO website to assist individuals and organizations to implement best practice guidelines.
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### Summary of Recommendations

**General Principles:**

1. The client's perspective, individual desires and needs are central to the application of the guideline.
2. The over-arching principle that guides the intervention choices is the principle of maintaining the highest quality of life possible while striving for a safe environment and practices. Risk taking, autonomy, and self-determination are supported, respected, and considered in the plan of interventions.
3. Individuals, their significant other(s) and the care team engage in assessment and interventions through a collaborative process.

<table>
<thead>
<tr>
<th>RECOMMENDATION</th>
<th>*LEVEL OF EVIDENCE</th>
<th>+GRADE OF RECOMMENDATION</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Assessment</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1.0 Assess fall risk on admission.</td>
<td>lb</td>
<td>B</td>
</tr>
<tr>
<td>1.1 Assess fall risk after a fall.</td>
<td>lb</td>
<td>B</td>
</tr>
<tr>
<td><strong>Intervention</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.0 Tai Chi to prevent falls in the elderly is recommended for those clients whose length of stay (LOS) is greater than four months and for those clients with no history of a fall fracture. There is insufficient evidence to recommend Tai Chi to prevent falls for clients with LOS less than four months.</td>
<td>lb</td>
<td>B</td>
</tr>
<tr>
<td><strong>Exercise</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.1 Nurses can use strength training as a component of multi-factorial fall interventions; however, there is insufficient evidence to recommend it as a stand-alone intervention.</td>
<td>lb</td>
<td>I</td>
</tr>
<tr>
<td><strong>Multi-factorial</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.2 Nurses, as part of the multidisciplinary team, implement multi-factorial fall prevention interventions to prevent future falls.</td>
<td>la</td>
<td>B</td>
</tr>
<tr>
<td><strong>Medications</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.3 Nurses, in consultation with the health care team, conduct periodic medication reviews to prevent falls among the elderly in health care settings. Clients taking benzodiazepines, tricyclic antidepressants, selective serotonin-reuptake inhibitors, trazodone, or more than five medications should be identified as high risk. There is fair evidence that medication review be conducted periodically throughout the institutional stay.</td>
<td>llb</td>
<td>B</td>
</tr>
<tr>
<td><strong>Hip Protectors</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2.4 Nurses could consider the use of hip protectors to reduce hip fractures among those clients considered at high risk of fractures associated with falls; however, there is no evidence to support universal use of hip protectors among the elderly in health care settings.</td>
<td>lb</td>
<td>B</td>
</tr>
</tbody>
</table>

* For a discussion of Levels of Evidence see p. 11.

+ For a discussion of Grades of Recommendation see p. 12.
## Nursing Best Practice Guideline

### RECOMMENDATION

<table>
<thead>
<tr>
<th>Vitamin D</th>
<th>2.5 Nurses provide clients with information on the benefits of vitamin D supplementation in relation to reducing fall risk. In addition, information on dietary, lifestyle, and treatment choice for the prevention of osteoporosis is relevant in relation to reducing the risk of fracture.</th>
<th>IV</th>
</tr>
</thead>
</table>

| Client Education | 2.6 All clients who have been assessed as high risk for falling receive education regarding their risk of falling. | IV |
| Environment | 3.0 Nurses include environmental modifications as a component of fall prevention strategies. | Ib |

### Education Recommendations

| Nursing Education | 4.0 Education on the prevention of falls and fall injuries should be included in nursing curricula and on-going education with specific attention to: ■ Promoting safe mobility; ■ Risk assessment; ■ Multidisciplinary strategies; ■ Risk management including post-fall follow-up; and ■ Alternatives to restraints and/or other restricted devices. | IV |

### Organization & Policy Recommendations

| Least Restraint | 5.0 Nurses should not use side rails for the prevention of falls or recurrent falls for clients receiving care in health care facilities; however, other client factors may influence decision-making around the use of side rails. | III | I |

| 6.0 Organizations establish a corporate policy for least restraint that includes components of physical and chemical restraints. | IV |

| Organizational Support | 7.0 Organizations create an environment that supports interventions for fall prevention that includes: ■ Fall prevention programs; ■ Staff education; ■ Clinical consultation for risk assessment and intervention; ■ Involvement of multidisciplinary teams in case management; and ■ Availability of supplies and equipment such as transfer devices, high low beds, and bed exit alarms. | IV |
**Prevention of Falls and Fall Injuries in the Older Adult**

<table>
<thead>
<tr>
<th>RECOMMENDATION</th>
<th>LEVEL OF EVIDENCE</th>
<th>GRADE OF RECOMMENDATION</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Medication Review</strong></td>
<td>8.0</td>
<td>IV</td>
</tr>
<tr>
<td>Implement processes to effectively manage polypharmacy and psychotropic medications including regular medication reviews and exploration of alternatives to psychotropic medication for sedation.</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

| **RNAO Toolkit** | 9.0 | IV |
| Nursing best practice guidelines can be successfully implemented only where there are adequate planning, resources, organizational and administrative support, as well as appropriate facilitation. Organizations may wish to develop a plan for implementation that includes: | | |
| ■ An assessment of organizational readiness and barriers to education. | | |
| ■ Involvement of all members (whether in a direct or indirect supportive function) who will contribute to the implementation process. | | |
| ■ Dedication of a qualified individual to provide the support needed for the education and implementation process. | | |
| ■ Ongoing opportunities for discussion and education to reinforce the importance of best practices. | | |
| ■ Opportunities for reflection on personal and organizational experience in implementing guidelines. | | |
| In this regard, RNAO (through a panel of nurses, researchers and administrators) has developed the Toolkit: Implementation of Clinical Practice Guidelines based on available evidence, theoretical perspectives and consensus. The Toolkit is recommended for guiding the implementation of the RNAO guideline Prevention of Falls and Fall Injuries in the Older Adult. | | |
Interpretation of Evidence

Levels of Evidence
This RNAO guideline is based on scientific evidence related to prevention of falls and fall-related injuries among the elderly in health care settings. To this end, a literature review of relevant studies was conducted. Where available, studies characterized by good methodologic quality and rigorous scientific design such as systematic reviews, meta-analyses and randomized controlled trials (RCT) were identified as the goal for inclusion within the guideline. Where high quality studies were unlikely to be found due to the nature of the intervention of interest such as risk screening, other levels of evidence were considered including cohort and case-control studies. The following evidence rating taxonomy provides the definitions of the levels of evidence and the rating system used in this document. All studies included in the literature review in support of this guideline were assigned a level of evidence in accordance with the classification system outlined in Table 1.

Table 1: Levels of Evidence

<table>
<thead>
<tr>
<th>Level</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Ia</td>
<td>Evidence obtained from meta-analysis or systematic review of randomized controlled trials.</td>
</tr>
<tr>
<td>Ib</td>
<td>Evidence obtained from at least one randomized controlled trial.</td>
</tr>
<tr>
<td>IIA</td>
<td>Evidence obtained from at least one well-designed controlled study without randomization.</td>
</tr>
<tr>
<td>IIB</td>
<td>Evidence obtained from at least one other type of well-designed quasi-experimental study.</td>
</tr>
<tr>
<td>III</td>
<td>Evidence obtained from well-designed non-experimental descriptive studies, such as comparative studies, correlation studies and case studies.</td>
</tr>
<tr>
<td>IV</td>
<td>Evidence obtained from expert committee reports or opinions and/or clinical experiences of respected authorities.</td>
</tr>
</tbody>
</table>
Grades of Recommendation
In addition to levels of evidence, recommendations generated as a result of the literature review were also assigned a grade. The grade associated with each recommendation reflects the strength of the evidence supporting it as well as the direction of the effect. For example, if a large body of literature of good methodological quality and design suggests the effectiveness of a given therapeutic intervention, it is likely the resultant recommendation would receive an “A” grade, meaning there is good evidence to include the intervention. The grade of recommendation classification system has been adopted from the Canadian Task Force on Preventive Health Care (CTFPHC, 1997). See Table 2.

Table 2: Grades of Recommendation

<table>
<thead>
<tr>
<th>Grade</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>A</td>
<td>There is <strong>good</strong> evidence to recommend the clinical preventive action.</td>
</tr>
<tr>
<td>B</td>
<td>There is <strong>fair</strong> evidence to recommend the clinical preventive action.</td>
</tr>
<tr>
<td>C</td>
<td>The existing evidence is <strong>conflicting</strong> and does not allow making a recommendation for or against use of the clinical preventive action; however other factors may influence decision-making.</td>
</tr>
<tr>
<td>D</td>
<td>There is <strong>fair</strong> evidence to recommend against the clinical preventive action.</td>
</tr>
<tr>
<td>E</td>
<td>There is <strong>good</strong> evidence to recommend against the clinical preventive action.</td>
</tr>
<tr>
<td>I</td>
<td>There is <strong>insufficient</strong> evidence (in quantity and/or quality) to make a recommendation, however other factors may influence decision-making.</td>
</tr>
</tbody>
</table>

Responsibility for Development

The Registered Nurses’ Association of Ontario (RNAO), with funding from the Government of Ontario has embarked on a multi-year project of nursing best practice guideline development, pilot implementation, evaluation and dissemination. Prevention of Falls and Fall Injuries in the Older Adult is one of the areas of focus. This guideline was developed and subsequently revised by a panel of nurses and other experts convened by the RNAO and conducting its work independent of any bias or influence from the Government of Ontario.

Purpose & Scope

Best practice guidelines (BPG) are systematically developed statements to assist practitioners’ and clients’ decisions about appropriate health care (Field & Lohr, 1990).

The purpose of this guideline is to increase all nurses’ confidence, knowledge, skills and abilities in the identification of adults within health care facilities at risk of falling and to define interventions for the prevention of falling. It does not include interventions for prevention of falls and fall injuries in older adults living in community settings. The guideline has relevance to areas of clinical practice including acute care and long-term care, and will assist nurses to apply the best available research evidence to clinical decisions, and to promote the responsible use of health care resources. Specifically, Prevention of Falls and Fall Injuries in the Older Adult will assist nurses to:

- Identify risk factors for falls;
- Decrease the incidence of falls; and
- Decrease the incidence of injurious falls.

The guideline focuses on: Practice Recommendations for assisting practitioner and client decisions; Education Recommendations for supporting the skills required for nurses; and Organization and Policy Recommendations addressing the importance of a supportive practice environment as an enabling factor for providing high quality nursing care which includes ongoing evaluation of guideline implementation.

This nursing best practice guideline contains recommendations for Registered Nurses (RNs) and Registered Practical Nurses (RPNs). It is acknowledged that effective client care depends on a coordinated multidisciplinary approach incorporating ongoing communication between health professionals and patients/clients, ever mindful of the personal preferences and unique needs of each individual client. The recommendations made are not binding for nurses and should accommodate client/family wishes and local circumstances. It is the intention of this guideline to identify best nursing practices in the area of falls and prevention of falls. It is acknowledged that the individual competency of nurses varies between nurses and across categories of nursing professionals (RPNs and RNs), and is based on the knowledge, skills, attitudes and judgment enhanced over time by experience and education.
A panel of nurses with expertise in falls prevention, education, and research, representing various health care and academic settings was convened under the auspices of the RNAO. The first task of the group was to review existing clinical practice guidelines in order to build on current understanding of falls prevention in the older adult, and to reach consensus on the scope of the guideline.

Three published guidelines related to prevention of falls and fall injuries in the older adult were identified through a systematic literature search. These guidelines were reviewed according to a set of inclusion criteria, which resulted in the elimination of one guideline. The inclusion criteria were:

- Guideline was in English;
- Guideline was dated no earlier than 1996;
- Guideline was strictly about the topic area;
- Guideline was evidence-based; and
- Guideline was available and accessible for retrieval.

Two guidelines met these criteria, and were evaluated using the Appraisal Instrument for Canadian Clinical Practice Guidelines, an adapted tool from Cluzeau, Littlejohns, Grimshaw, Feder & Moran (1997). From this appraisal process, the following two documents were identified as relevant guidelines appropriate for use in the development of the original recommendations of the guideline:


A critique of systematic reviews and relevant literature was also conducted and through a process of consensus, the recommendations were developed. An initial draft of the RNAO Prevention of Falls and Fall Injuries in the Older Adult nursing best practice guideline was reviewed by stakeholders and responses were incorporated.

The guideline was published following a seven-month pilot implementation phase in a selected practice setting in Ontario. Practice settings for RNAO nursing best practice guidelines are identified through a “request for proposal” process.

The Registered Nurses’ Association of Ontario (RNAO) has made a commitment to ensure that this best practice guideline is based on the best available evidence. In order to meet this commitment, a monitoring and revision process has been established for each published guideline.
A “current awareness” review has been completed on a quarterly basis since the nursing best practice guideline *Prevention of Falls and Fall Injuries in the Older Adult* was originally published. Guideline development staff reviewed abstracts published in key databases on the topic, focusing on systematic reviews, randomized controlled trials and recently published clinical practice guidelines. The purpose of this review was to identify evidence that would impact on the recommendations, either further supporting the published recommendations, or indicating that a recommendation was no longer appropriate. In the latter case, an “action alert” would be issued, or a full review would be conducted prior to the three-year schedule. No evidence of this nature was identified during the ongoing monitoring phase, and this guideline moved into the revision phase as originally scheduled.

In September of 2004, a panel of nurses and other healthcare professionals, from a range of practice settings and academic sectors, with expertise and interest in falls and fall injuries in the older population, was convened by the RNAO. This group was invited to participate as a review panel to revise the *Prevention of Falls and Fall Injuries in the Older Adult* guideline that was originally published in January 2002. This panel had representation from members of the original development panel, as well as other recommended specialists.

The panel members were given the mandate to review the guideline, focusing on the currency of the recommendations and evidence, keeping to the original scope of the document. A description of this work follows.

**Preliminary Planning**
During the initial planning phase for the revision of the guideline, clinical questions and search terms based on the *original* recommendations were generated in consultation with the revision panel team leader. A subsequent search by a health sciences librarian generated numerous abstracts which were then reviewed by a masters prepared Research Assistant assigned to the project for the purpose of selecting articles that related to the clinical questions and to the original recommendations. The selected articles were reviewed by the Research Assistant who summarized the studies according to the following:

- Study type;
- Sample (number of subjects/characteristics);
- Intervention used in the study;
- Measures used in the study;
- Findings; and
- Limitations.

This summary was distributed to the panel.

**Panel Methodology**
The following graphic depicts the subsequent process for development of the revision of the RNAO guideline *Prevention of Falls and Fall Injuries in the Older Adult*.


**Scope**

In September 2004 representatives from the guideline revision panel met to discuss the scope of the revision. The purpose of this discussion was to revisit the original guideline with consideration of requirements for revision. The summary distributed in the preliminary planning phase resulted in a discussion on the relevance of the content of the 2002 guideline and the need for a methodology that included a revised set of clinical questions, and a more focused search of the literature. Strategies for rating and grading the evidence and developing the recommendations were also reviewed. The panel discussed many of the relevant interventions that should be investigated in the subsequent literature search and systematic review.

**Scientific Review of the Literature**

Beginning in October 2004, the development panel undertook a literature search (Appendix A) for evidence specific to falls and fall injuries among the elderly receiving care in health care settings. A literature search spanning the years 1999 to 2004 was conducted using the online database PubMed. The search parameters were age 65 and older, falls or accidental falls, and non-community. For the clinical recommendations, publication type was limited to systematic reviews, meta-analyses, randomized controlled trials (RCT), or controlled clinical trials. Where higher quality evidence was not available, less rigorous study designs, such as cohort studies, were included.

A total of 294 articles were returned by the search. Of these, 200 were excluded as they did not meet the inclusion criteria related to: age (over 65 years); and setting (receiving care in health care settings). Where appropriateness was indeterminable from the information provided in the abstract, the full text papers were retrieved and reviewed. Two independent reviewers (one panel member and one clinician assigned to the literature review) appraised all 94 papers selected for full review. A study selection form (Appendix B) was used to ensure consistent application of inclusion/exclusion criteria. All RCTs reviewed were subsequently appraised for bias using the Jadad tool (Jadad, et al. 1996).

Upon completion of the appraisal, the working team met to discuss the appropriateness of each study for inclusion in the literature review. Where a discrepancy existed, reviewers discussed the nature of the disagreement and came to consensus on inclusion/exclusion. In all cases, the reviewers were able to reach agreement. In addition, both reviewers also compared Jadad scores and followed a similar process where disagreement existed. Twenty-four papers were included in the review.

The panel revision team then conducted a critical appraisal of all articles selected for inclusion in the literature review. The product of the work of this team included summaries of all articles selected for the literature review as well as a set of evidence-based recommendations that would serve as the basis for the revised guideline.

**Consensus on Recommendations**

In the final step of this process, the revision panel reconvened to discuss and review the literature. The previous recommendations were revised or deleted, and new additional recommendations were developed in accordance with the new evidence. Figure 1 summarizes the entire process.
Has further evidence become available since the publication of the guideline in 2002?

Initial search of the literature based on original (2002) guideline recommendations

Librarian search

Research Assistant (RA) review of articles for inclusion/exclusion

Development of evidence summary by RA

Team review of RA summary

Team identification of content gaps in the literature summary

Team establish levels of evidence targets (meta-analyses, systematic reviews, randomized controlled trials & case control studies)

Team review of search terms

Secondary search by team research analyst based on levels of evidence target and redefined search terms

Yield 294 additional high evidence articles

Review & exclude those not meeting age (>65), setting (health care) and level of evidence

Two independent reviewers review remaining (n=94) articles using study selection form (Appendix B)

RCT review using Jadad (1996) to ensure studies meet methodological rigour to be classified and used as RCT

Critical Appraisal of systematic reviews

Interrater agreement achieved for inclusion of 24 studies

Key findings of the 24 studies summarized by theme, with supporting recommendations distributed to revision panel

Revision panel wrote discussion of evidence using the inclusion articles

Nursing Best Practice Guideline

Figure 1: Revision Methodology Process Flow
### Definition of Terms

**Clinical Practice Guidelines or Best Practice Guidelines:** “Systematically developed statements to assist practitioner and patient decisions about appropriate health care for specific clinical (practice) circumstances” (Field & Lohr, 1990, p. 8). Clinical Practice Guidelines or Best Practice Guidelines are developed using the best available research findings and where research gaps are present, consensus processes.

**Consensus:** A process for making policy decisions, not a scientific method for creating new knowledge. At its best, consensus development merely makes the best use of available information, be that scientific data or the collective wisdom of the participants (Black et al., 1999).

**Educational Recommendations:** Statements of educational requirements and educational approaches/strategies for the introduction, implementation and sustainability of the best practice guideline.

**Fall:** An event that results in a person coming to rest inadvertently on the ground or floor or other lower level.

**Family:** Whomever the person defines as being family. Family members can include: parents, children, siblings, neighbours, and significant people in the community.

**Grades of Recommendation:** Grades associated with individual recommendations indicate the level of evidence upon which the recommendation is based, as well as the direction of the effect. For example, recommendations based on strong scientific evidence may receive a “good” grade, whereas those based on lower levels of evidence may receive a “fair” grade. In addition, evidence supporting the effectiveness of a given intervention would likely receive a recommendation to include the intervention in treating patients, whereas evidence showing a given intervention is inferior to an alternative approach may suggest that the intervention be excluded from patient care programs.

**High Risk:** The presence of one or more risk factors for falls as outlined in the assessment section of this guideline.

**Informal Support:** Support and resources provided by persons associated with the person receiving care. Persons providing informal support can include: family, friends, members of a spiritual community, neighbours, etc.

**Institution:** Health care facilities including acute, sub-acute, long-term care, complex continuing care, rehabilitation, and residential care.
**Levels of Evidence:** Levels of evidence indicate the strength of the scientific evidence upon which a recommendation is based. High quality scientific publications such as a randomized controlled trial are typically assigned a higher level of evidence than studies using different methodologies such as case-control studies.

**Meta-analysis:** Use of statistical methods to summarize the results of independent studies, which can provide more precise estimates of the effects of health care than those derived from the individual studies included in a review (Alderson, Green, & Higgins, 2004).

**Multidisciplinary Care:** A process where health care professionals representing expertise from various health care professionals collaboratively participate in the process of assessment, diagnostic evaluation, care planning, care conferences and treatment of clients (Jensen et al., 2003 & Vassalo et al., 2004b).

**Odds Ratio:** The odds ratio is a way of comparing whether the probability of a certain event is the same for two groups. An odds ratio of 1 implies that the event is equally likely in both groups. An odds ratio greater than one implies that the event is more likely in the first group. An odds ratio less than one implies that the event is less likely in the first group (Johnston, 2005).

**Older adult:** Individuals 65 years or older.

**Organization & Policy Recommendations:** Statements of conditions required for a practice setting that enable the successful implementation of the best practice guideline. The conditions for success are largely the responsibility of the organization, although they may have implications for policy at a broader government or societal level.

**Practice Recommendations:** Statements of best practice directed at the practice of health care professionals that are ideally evidence-based.

**Restrain:** To place a person under control by the minimal use of such force, mechanical means or chemicals as is reasonable having regard to the person's physical and mental condition (Legislative Assembly of Ontario, 2001).

**Relative risk:** A measure of how much a particular risk factor (e.g., previous fall) influences the risk of a specified outcome (e.g., fall). For example, a relative risk of 2 associated with a risk factor means that persons with that risk factor have a 2-fold increased risk of having a specified outcome compared to persons without that risk factor. A relative risk of 0.5 means that persons with that risk factor have half the risk of the specified outcome (a protective effect) compared to persons without the risk (protective) factor (Johnston, 2005).
Stakeholder: A stakeholder is an individual, group, or organization with a vested interest in the decisions and actions of organizations who may attempt to influence decisions and actions (Baker et al., 1999). Stakeholders include all individuals or groups who will be directly or indirectly affected by the change or solution to the problem.

Systematic Review: Application of a rigorous scientific approach to the preparation of a review article (National Health and Medical Research Centre, 1998). Systematic reviews establish where the effects of health care are consistent and research results can be applied across populations, settings, and differences in treatment (e.g., dose); and where effects may vary significantly. The use of explicit, systematic methods in reviews limits bias (systematic errors) and reduces chance effects, thus providing more reliable results upon which to draw conclusions and make decisions (Alderson, Green, & Higgins, 2004).

Background Context

Falls Statistics in Canada

According to the Canadian Institute for Health Information (CIHI, 2000), falls are the primary cause of injury admissions to Canada's acute care hospitals, accounting for 54.4% of all injury hospitalizations and 75.7% of all in-hospital deaths for clients admitted for injuries. In total, there were 197,002 hospital injury admissions in Canada, of which 54.4% were caused by falls in comparison to 15.1% caused by motor vehicle collisions. For seniors age 65 years and older, falls were the cause of 84.8% of all injury admissions. The report (CIHI, 2000) also indicates that the average age of injury admissions is rising from 47 years in 1995/1996 to 50 years in 1999/2000. In 1999/2000, there were 6,663 in-hospital deaths among clients hospitalized due to injuries, and 75.7% of these deaths were precipitated by a fall.

Falls are also the second leading cause of both head and spinal cord injuries (35% and 37%, respectively) (CIHI, 2004). The majority of specific fall-related hospitalizations for head injuries were falls on or from stairs or steps (25%), slipping, tripping or stumbling (17%) and falls from one level to another (11%). For spinal cord injury hospitalizations, the leading cause of injury for females was falls (42%). Up to 50% of elderly patients residing in nursing homes fall every year (Kiely, et al., 1998; Luukinen, et al., 1995; Rubenstein, Josephson, & Osterweil, 1996), with approximately 1.5 falls occurring per nursing home bed-years. About 40% of older adults who are hospitalized after a fall have suffered hip fractures, and approximately 7% of these result in death (SMARTRISK, 2004a).
In addition to pain and suffering for individuals and their families, fall-related injuries result in substantial economic burden to society (CIHI 2002). Direct health care costs relating to falls among seniors are estimated at $1 billion every year (SMARTRISK, 2004b). Canada’s senior population is projected to grow to 5 million by 2011, which is more than 1/3 larger than the senior population in 1998 of 3.6 million. As this proportion continues to grow, the prevention of falls takes on even more importance. Although the average cost per case for unintentional falls ranked eighth among all causes, the injuries accounted for more than 60% of the total estimate. This is due to the fact that falls were the leading cause of trauma admissions in 2000/2001, accounting for 56% of all injury hospitalizations.

Identifying possible risk factors and falls prevention programs can prevent the majority of falls. A 20% reduction in falls would translate to an estimated 7,500 fewer hospitalizations and 1,800 permanently disabled elderly over the age of 65 (SMARTRISK, 2004c). The overall national savings could amount to $138 million annually (SMARTRISK, 2004c).

Falls Statistics in Ontario

In Ontario, falls were responsible for 80% of head injury hospitalizations in people age 65 and older in Ontario in 1998/99 (CIHI, 2000). Slipping, tripping or stumbling from the same level were the major reasons. Women were admitted to Ontario hospitals for injuries from falls twice as often as men. The CIHI report (2000) indicates that the average length of stay varies by gender, being seven days for males and 11 days for females in 1998/99.
Practice Recommendations

Assessment

**Recommendation 1.0**

Assess fall risk on admission.  

*Level Ib  Grade B*

Discussion of Evidence

Fall risk assessment is important as it provides direction for the multiple interventions which have been shown to reduce a person's risk of falling. Commonly identified fall risk factors for elderly patients in health care settings include confusion, tranquilizer use, hearing deficits, cognitive impairment, previous stroke, previous falls, confusion/delirium, acute diseases and/or side effects of drugs (Kallin et al., 2004; Salgado et al., 2004; Vassallo et al., 2004a). Risk screening is an effective method for identifying fall-prone individuals. A systematic review of fall screening tools by Perrel et al. (2001) concluded that since all residents of long term care (LTC) were likely to be at high risk of falls, universal fall prevention maneuvers should be administered in this setting, and that various tools such as the Morse Fall Scale (Morse, Morse & Tylko, 1989), the STRATIFY risk assessment tool (Oliver et al., 1997) and the Hendrich II Fall Risk Model (Hendrich et al., 1995) could be used.

Assessment for fall risk is the key. The tool used must be appropriate for the setting and for the specific client population. Therefore, it is essential to assess the patient population in order to select a tool most appropriate for the setting. Appendix C contains information on how to access the tools discussed above.

Oliver et al. (2004) completed a systematic review of hospital fall risk assessment tools and reported that a few risk assessment tools were able to predict falls with sensitivity and specificity of greater than 70% and the common risk factors identified were gait instability, confusion, urinary frequency, previous falls and medications (i.e., sedative/hypnotics). The authors also proposed that an alternative to using risk assessment tools was to attend to reversible fall risk factors in all clients. Trials (Haines et al., 2004; Healy et al., 2004) which successfully reduced falls in hospital settings used screening assessments on which to base the choice of interventions for clients. Randomized controlled trials (RCT) conducted in long-term care (LTC) settings, (Becker et al., 2003; Jensen et al., 2002) found that screening may have served to better tailor individual multi-factorial interventions as different risk factors increased the risk of falls differently. While risk screening on admission does not directly reduce the incidence of falls in the older adult, the evidence indicates that it can help to choose the appropriate interventions for the subsequent reduction of falls. **Recommendation 2.2** has a further discussion of multi-factorial interventions. Table 3 contains a list of fall risk factors for hospital and LTC populations. The odds ratio (OR) represents the likelihood of a fall occurring in patients with these risk factors as compared to patients who do not have them.
**Table 3: Risk Factors and Associated Odds of Falling**

<table>
<thead>
<tr>
<th>Risk Factor</th>
<th>Hospitalized</th>
<th>Nursing Home</th>
</tr>
</thead>
<tbody>
<tr>
<td>History of a previous fall</td>
<td>OR, 2.76</td>
<td>OR, 3.41</td>
</tr>
<tr>
<td>Age</td>
<td>&gt; 75</td>
<td>&gt; 87 (OR, 1.16)</td>
</tr>
<tr>
<td>Gender</td>
<td>insufficient data</td>
<td>Male (OR, 1.14)</td>
</tr>
<tr>
<td>Medical conditions</td>
<td>Parkinson's disease, diabetes mellitus, arthritis, cerebrovascular accidents, recent hospitalization and illness via their effect on strength, balance, and proprioception can contribute to fall risk. Although these diseases cannot be altered, other risk factors may be modifiable to lower the patient's overall risk.</td>
<td></td>
</tr>
<tr>
<td>Cognitive impairment</td>
<td>OR, 2.62-6.33</td>
<td>Wandering behaviour (OR, 1.84)</td>
</tr>
<tr>
<td>Balance and gait</td>
<td>insufficient data</td>
<td>Unsteady gait (OR, 1.13) Transfer independence (OR, 1.49) Wheelchair independence (OR, 1.39)</td>
</tr>
<tr>
<td>Ambulatory aids</td>
<td>Use of ambulatory aids (OR, 2.84)</td>
<td>Use of cane/walker (OR, 1.44)</td>
</tr>
<tr>
<td>Environmental hazards</td>
<td>insufficient data</td>
<td>Falls attributed to environmental factors: 27.3% in this population. Restraint use (OR, 10.2)</td>
</tr>
<tr>
<td>Drugs</td>
<td>Psychotrophic drugs: OR, 1.93-7.95</td>
<td>Polypharmacy (4+ medications) Benzodiazepines (adjusted RR, 1.44) Psychotrophics: 2-fold increase rate of falls Diuretics (OR, 7.2) Vasodilators (OR, 3.0)</td>
</tr>
<tr>
<td>Vision (decreased)</td>
<td>OR, 2.46</td>
<td>OR, 1.6</td>
</tr>
<tr>
<td>Systolic hypotension (&lt;110 systolic)</td>
<td>insufficient data</td>
<td>OR, 2.0</td>
</tr>
<tr>
<td>Hospital ward</td>
<td>Geropsychiatry and rehabilitation wards with higher incidence of falls.</td>
<td></td>
</tr>
<tr>
<td>Number of risk factors</td>
<td>Five factors, including fall as a presenting complaint, a low transfer or mobility score of 3 or 4, or the primary nurses’ judgment that the patient was agitated, needed frequent toileting, or was visually impaired were found to predict falls in a hospital setting. Having more than two of these risk factors was defined as high risk.</td>
<td></td>
</tr>
</tbody>
</table>

Recommendation 1.1
Assess fall risk after a fall.

Discussion of Evidence
A fall in an elderly person is often a presentation of disease (sentinel fall), and a previous fall triples the odds of a client experiencing a future fall (American Geriatric Society, British Geriatric Society and American Academy of Orthopedic Surgeons Panel on Falls Prevention, 2001). An RCT in an American LTC facility has shown that resident assessment within seven days of a fall was effective at preventing subsequent hospitalization and reduced hospital days although it did not reduce fall rate (Rubenstein et al., 1990).

Intervention
Tai Chi

Recommendation 2.0
Tai Chi to prevent falls in the elderly is recommended for those clients whose length of stay (LOS) is greater than four months and for those clients with no history of a fall fracture. There is insufficient evidence to recommend Tai Chi to prevent falls for clients with LOS less than four months.

Discussion of Evidence
There is insufficient evidence to recommend Tai Chi to prevent falls for clients with length of stays (LOS) less than four months. Wolf et al. (2003) conducted a 48-week cluster randomized control study of 286 residents (“transitioning to frailty” (> 70 yrs)) of congregate living facilities which are described as small (6-20 bed) supportive living facilities. The facilities were randomized to Tai Chi or wellness education for the duration and residents were evaluated for function, behaviour, and falls. The 48-week Tai Chi program showed no benefit in terms of fall prevention in comparison to wellness education. However, the Tai Chi group began to show a reduction in fall risk after four months of the program. In addition Tai Chi showed a significant reduction in falls among those without history of fall fracture.
Exercise

**Recommendation 2.1**

Nurses can use strength training as a component of multi-factorial fall interventions; however there is insufficient evidence to recommend it as a stand-alone intervention.  

Discussion of Evidence

There is insufficient evidence to recommend progressive strength training alone to prevent falls in the elderly. An RCT of exercise programs conducted by Nowalk et al. (2001) randomized 110 residents (average age 84 years) of two senior housing communities to Tai Chi, progressive strength training and no exercise (control) groups. Assessments were made at baseline, six, 12, 18 and 24 months following randomization. There was no difference in rate of falls or hospitalization between the three groups. Additionally, in comparison to Tai Chi and no exercise, progressive strength training appeared to impart no benefit in terms of falls reduction. These findings are consistent with those found by Gillespie et al. (2004) who conducted a systematic review of randomized controlled trials on various interventions for preventing falls in the elderly. In addition, Gillespie et al. (2004) referenced two additional papers (Donald et al., 2000; Mulrow et al., 1994) that investigated individually targeted exercise/physiotherapy in an institutional setting. As with Nowalk et al. (2001), exercise was found to impart no protective benefit against falls; therefore, exercise alone is not enough to prevent falls in the older adult.

Multi-factorial

**Recommendation 2.2**

Nurses, as part of the multidisciplinary team, implement multi-factorial fall prevention interventions to prevent future falls.  

Discussion of Evidence

Multi-factorial and targeted interventions can have a significant benefit in reducing and delaying falls in residents of LTC institutions (Becker et al., 2003; Jensen et al., 2002), sub-acute rehabilitation settings (Haines et al., 2004) and in-patients (Healy et al., 2004) as demonstrated by cluster randomized trials.

Jensen et al. (2002) demonstrated a reduced number of hip fractures and falls in nursing home residents with multi-factorial trials which included hip protectors offered to each resident. This effect was not reproduced in Becker et al. (2003), despite a beneficial effect on fall rate. Both of these trials included the following multi-factorial components: staff education, environmental modifications, exercise, mobility aids, medication review, hip protectors, and post-fall problem solving. The trials done in the sub-acute setting were effective at preventing falls and the intervention was based on an individualized multi-factorial assessment but did not include hip protectors (Haines et al., 2004). Care planning for patients admitted with a history of falls, and those who fell or had a near miss during their current admission, was successful at reducing falls, on an in-patient unit (Healy et al., 2004). Multi-factorial interventions utilizing lower intensity components (e.g., high/low risk logo on clothing, fall prevention manual) may actually increase the risk of falling (Kerse et al., 2004).
Therefore, nurses should consider individual risk factors and administer targeted, multi-factorial interventions consisting of one or more of the following components: staff education, environmental modifications, exercises, mobility aids, medication review, hip protectors, and post-fall problem solving. Multi-factorial interventions are often implemented by working in teams with rehabilitation staff such as occupational therapists and physical therapists. Pharmacists can help with medication review. Physicians participate in the team implementation of the multi-factorial intervention, particularly by helping in identifying diseases which present as falls and medications which may predispose to falls.

**Recommendation 2.3**

Nurses, in consultation with the health care team, conduct periodic medication reviews to prevent falls among elderly in health care settings. Clients taking benzodiazepines, tricyclic antidepressants, selective serotonin-reuptake inhibitors, trazodone, or more than five medications should be identified as high risk. There is fair evidence that medication review be conducted periodically throughout the institutional stay.

**Discussion of Evidence**

Review of the client’s medication regime can have an impact on reducing falls in the elderly. Psychotropic medications related to falling accidents were investigated by Leipzig et al. (1999a, 1999b). This systematic review identified 40 articles addressing this issue that would be included in the meta-analysis, none of which were randomized controlled trials. When the odds ratios from all studies were combined in meta-analysis, it was revealed that the pooled odds ratios for one or more falls ranged from 1.51 to 1.73 (p < .05 for all) for psychotropic medications and 1.48 (p < .05) for benzodiazepines, representing a significant relationship between falls and psychotropic medications and/or benzodiazepines. This meta-analysis included both community and individuals in health care facilities; however, when studies were stratified based on subject residence, there was no change in the pooled odds ratios for any drug class, except neuroleptics among psychiatrically hospitalized patients, indicating that the above-mentioned odds ratios apply to each group individually.

In a retrospective cohort study involving 2,428 nursing home residents, Thapa et al. (1998) compared users of antidepressants to non users. Tricyclic antidepressants, selective serotonin-reuptake inhibitors, and trazodone were found to double fall rates and this rate increase had a dose-response relationship. In particular, the rate of falls increased as dosage increased for tricyclic antidepressants and serotonin-reuptake inhibitors. In addition, when these medications were prescribed for dementia as opposed to depression, the result was an increase in falls.

It is not enough to review medications only on admission. Ray et al. (2002) evaluated concordance of two definitions of benzodiazepine use. A *baseline user* was someone who had taken a benzodiazepine in the seven days preceding follow-up and a *current user* was someone who was using a benzodiazepine on a given day of follow-up. Baseline user definition led to misclassification as only 44.6% of “users” actually used benzodiazepines during follow-up. The baseline definition did not discriminate users from non-users in terms of the number of falls, while the current user definition showed that users were more likely to fall than non-users. This study underscores the importance of reviewing benzodiazepine use throughout institutionalization, as opposed to only reviewing use upon admission. Misclassification results in substantial underestimation of the association between benzodiazepine use and fall risk and points to the importance of reviewing medications periodically throughout the hospital/institutional stay.
Elderly clients are often prescribed many different types of medications. The client’s medication profile could be considered both an extrinsic (related to the environment) and intrinsic (specific to the individual) contributing factor to the risk for falling. Neutel et al. (2001) conducted a two-part study on 227 nursing home residents over the age of 65. Part I of the study described falls and drug use and found that falls were increased for patients taking greater than five medications compared to those who were taking less than five. Part II was a cross-over design comparing falls before and after a new drug. New starts of benzodiazepines/antipsychotics resulted in a higher risk of falling as compared to the pre-drug period. This further supports Ray’s findings described above and indicates that polypharmacy among elderly clients (> 5 meds) leads to a greater risk of falling.

### Hip Protectors

**Recommendation 2.4**

Nurses could consider the use of hip protectors to reduce hip fractures among those clients considered at high risk of fractures associated with falls; however, there is no evidence to support universal use of hip protectors among the elderly in health care settings.

*Level Ib Grade B*

### Discussion of Evidence

There is no evidence to support universal use of hip protectors among institutionalized elderly. In a systematic review of randomized controlled trials, Parker, Gillespie and Gillespie (2001) found that studies involving randomization on an individual basis did not support the use of hip protectors in preventing hip fractures among the institutionalized (nursing home) elderly. Studies involving cluster randomization based on unit/ward; however, did support the use of hip protectors in the prevention of hip fracture among the institutionalized elderly considered at risk of falling. This further supports the need for appropriate risk screening (*Recommendation 1.0 and 1.1*) in order to reduce the risk of injury.

### Vitamin D

**Recommendation 2.5**

Nurses provide clients with information on the benefits of vitamin D supplementation in relation to reducing fall risk. In addition, information on dietary, life style and treatment choice for the prevention of osteoporosis is relevant in relation to reducing the risk of fracture.

*Level IV*

### Discussion of Evidence

There have been several studies demonstrating a relationship between bone density at the femoral neck and an increased risk of fractures. It was noted that this risk increases with age (Cummings et al., 1995). The elderly receiving care in health care facilities are also at high risk for vitamin D deficiency, due to their lack of exposure to sunlight and age-related skin changes. A number of randomized controlled trials evaluated the association between vitamin D and calcium supplementation, and a reduction in falls and fall-related fractures (AMDA, 1998). There are also several randomized controlled trials providing evidence on the benefits of the prevention and treatment of osteoporosis, as outlined in peer review clinical practice guidelines for osteoporosis. The Osteoporosis Society of Canada provides clinical guidelines on the diagnosis, prevention and treatment of osteoporosis (See *Appendix E* for a list of resources).
There is evidence to suggest that vitamin D supplementation can reduce a person's risk for falls (Bischoff et al., 2003; Bischoff-Ferrari et al., 2004). Similar findings have been reported for older persons living in the community or in senior housing. Bischoff et al. (2004), in their meta-analysis of studies from all three settings, reported a statistically significant 22% reduction in the risk of falls among older persons who were being treated with vitamin D in comparison to those receiving calcium and a placebo.

Information for the client may include:
- Calcium and Vitamin D3 supplementation;
- Weight-bearing exercises, (e.g., walking); and
- Pharmacological management to maintain/improve bone strength (Kannus et al., 1999; National Ageing Research Institute, 2000).

Client Education

Recommendation 2.6
All clients who have been assessed as high risk for falling receive education regarding their risk of falling. Level IV

Discussion of Evidence
Falls education has been found to reduce the fear of falling and improve self-efficacy (Brouwer et al., 2003). Education can be delivered in a variety of ways and in a variety of settings. Individual sessions can create a non-threatening environment giving a more client-centred approach, allowing the client to ask questions and the nurse to do a more detailed assessment. Group sessions can save teaching time (Queensland Health, 2003) and allow clients to benefit from social interaction and to learn from others' experiences. When developing the education materials, factors affecting the aging process should be taken into consideration. It is important to recognize the early signs of dementia, such as loss of short term memory, difficulty in learning and retaining new information, language difficulties, mood swings and personality changes, progressive decline in the ability to perform activities of daily living and fluctuating awareness and orientation (Queensland Health, 2003). Refer to the RNAO guidelines Screening for Delirium, Dementia and Depression in Older Adults (2003) and Caregiving Strategies for Older Adults with Delirium, Dementia and Depression (2004) for more information about recognizing and working with clients with moderate to severe dementia.
The topics commonly included in falls education for patients include (Joanna Briggs Institute, 1998; Queensland Health, 2003):

- Educating the client and family/caregiver about the risk of falling, safety issues, and activity limitations;
- Teaching clients to make position changes slowly;
- Orientating clients to their bed area, ward facilities, and how to get assistance;
- Education programs for all new and high risk clients;
- Information on the aftermath of a fall;
- Strategies if unable to rise;
- First aid;
- Discussing treatment goals;
- Psychological issues;
- Informed choices around risk;
- Importance of staying active and being mobile; and
- Osteoporosis (i.e., promoting “Healthy Bones”).

**Environment**

**Recommendation 3.0**

Nurses include environmental modifications as a component of fall prevention strategies.  

*Level Ib*

**Discussion of Evidence**

In a RCT study of 20 residential care facilities, Dyer et al. (2004) included team assessment of environmental risk factors with written recommendations for addressing risk factors. Kerse et al. (2004) found assessment of environmental risk within common areas as well as patient rooms to be effective in reducing falls. Jensen et al. (2002), in a cluster randomized controlled non-blinded trial of nine residential care facilities in Sweden, recommended environmental modifications such as rearranging furniture that posed risk of falling, wiping wet areas on floors, clearing snow from the entrance of facilities, as well as providing grip bars and improved lighting. These items were also recommended by AMDA (1998) and Queensland Health (2003). In a RCT involving six community nursing homes in Germany, Becker et al. (2003) found environmental modifications of chair bed height, room clutter, and proper use of walking aids, grab bars and lighting as a component of a multifaceted intervention to be effective in reducing total falls, number of fallers and number of frequent fallers. In a quasi-experimental study, Vassalo et al. (2004) also included assessment of accessibility of a nurse call system and found it to be effective in reducing falls.
Education Recommendations

Nursing Education

**Recommendation 4.0**

Education on the prevention of falls and fall injuries should be included in nursing curricula and on-going education with specific attention to:

- Promoting safe mobility;
- Risk assessment;
- Multidisciplinary strategies;
- Risk management including post-fall follow-up; and
- Alternatives to restraints and/or other restricted devices.

**Level IV**

**Discussion of Evidence**

A variety of innovative strategies for learning (e.g., use of CD-ROM packages; online-based electronic-learning, e-learning, case studies, reflective practice discussion, and ethics rounds) related to restraint utilization can be developed to support students and nursing staff in their understanding of their roles and goals in the implementation of the guideline (Queensland Health, 2003). Principles of adult learning should be used in planning, implementing and evaluating educational sessions in order to enhance the nursing staff’s/student’s knowledge, skills and judgment around the application of the recommendations in this guideline. Students and nursing staff should be empowered to question and change practice with the support of clients/substitute decision maker, administrators, educators and other disciplines in efforts to support the guideline recommendations (Queensland Health, 2003).

The following topics should be included in falls prevention education materials for nurses (Queensland Health, 2003):

- Definition of falls;
- Falls statistics including frequency, outcomes, and costs (CIHI, 2000; National Centre for Injury Prevention and Control (NCIPC), 2004);
- Risk factors (intrinsic and extrinsic) associated with falling;
- Consequences of falls;
- Costs to the healthcare system (CIHI, 2000; NCIPC, 2004);
- Impact on quality of life, autonomy and “dignity of risk”, ethical dilemmas;
- Assessment of falls including documentation and use of evidence-based falls assessment tools;
- Re-assessment;
- Falls prevention strategies such as client tailored interventions and attention to medication review; and
- Injury prevention strategies including assessment of environmental factors, alternatives to restraints, and post-fall follow up.
Organization & Policy Recommendations

Least Restraint

**Recommendation 5.0**

Nurses should not use side rails for the prevention of falls or recurrent falls for clients receiving care in health care facilities; however, other client factors may influence decision-making around the use of side rails.

*Level III Grade I*

**Discussion of Evidence**

The use of side rails is not recommended for the prevention of falls or recurrent falls in the older adult. One retrospective cohort study (secondary analysis of data collected in a longitudinal, prospective clinical trial) examined the relationship between bilateral side rail use and bed-related falls/injuries among nursing home clients. This study revealed that there was no difference in risk of falls or recurrent falls with bilateral side rail use (Capezuti et al., 2002). When controlling for cognition and function the results were the same. The authors acknowledge that the clients' clinical characteristics can influence the decision-making process around bed rail use.

Key stakeholders including the College of Nurses of Ontario (CNO, 2004), the Ontario Hospital Association (OHA, 2001) and the restraint research-based protocol from the University of Iowa (Ledford, 1996), have guidelines to assist in decision making around the use of restraints. (See Appendix E for a list of resources).

**Recommendation 6.0**

Organizations establish a corporate policy for least restraint that includes components of physical and chemical restraints.

*Level IV*

**Discussion of Evidence**

In a systematic review of patient injury and physical restraint devices, Evans et al. (2003) highlighted the potential danger of using physical restraints in acute care and residential care settings noting that physical restraint may increase the risk of death, falls, serious injury and prolonged hospitalization. On June 29, 2001, the Ontario Patient Restraint Minimization Act (Bill 85) received royal assent (Legislative Assembly of Ontario, 2001) (Appendix D). This legislation governs physical and chemical forms of restraint as well as monitoring devices, and applies to acute, chronic care and other healthcare facilities. Bill 85 defines restraint as placing a person under control by the minimal use of such force, mechanical means or chemicals as is reasonable having regard to the person's physical and mental condition. The Bill governs the use of restraints on patients, the confinement of patients and the use of monitoring devices in hospitals governed by the Public Hospitals Act and every facility governed by the Private Hospitals Act. Hospitals are prohibited from restraining, confining clients or using monitoring devices, unless it is necessary to prevent serious bodily harm to themselves or others. Requirements include assessment, use of alternatives, development of a plan of care, consent, physician order, monitoring and re-evaluation. It legislates policies of least restraint to encourage hospitals and facilities to use alternative methods wherever possible. The legislation defines restraints as any device that the client cannot easily remove and that restricts freedom of movement or normal access to his or her body. Required policy components are described as:
Defining restraints authorized within the organization;  
Detailing assessment requirements; and  
Identifying and describing alternatives and family involvement, authorization, implementation, monitoring, care planning, and reassessment documentation and evaluation processes.

In policy development, organizations are advised to review legislation such as the Ontario Mental Health Act for sections related to the use of restraints. In addition, they are advised to review the Charter of Rights and Freedoms, which is a component of the Canadian Constitution. The OHA (2001) also recommends that health care settings include the multidisciplinary team in the policy development, and develop a committee within the organization to examine barriers to restraint reduction, evaluate ongoing restraint practices and identify an individual responsible for co-coordinating the committee and evaluation processes.

Organizational Support

Recommendation 7.0

Organizations create an environment that supports interventions for fall prevention that includes:
- Falls prevention programs;
- Staff education;
- Clinical consultation for risk assessment and intervention;
- Involvement of multidisciplinary teams in case management; and
- Availability of supplies and equipment such as transfer devices, high low beds, and bed exit alarms.

Level IV

Discussion of Evidence

In a review of evidence-based guidelines, Moreland et al. (2003) recommends that institutions develop systems for assessing and preventing falls. In a descriptive review of the literature, Shanley (2003) recommends that organizational support initiatives include making falls and injury prevention an explicit and important aspect of the facility’s planning program and budget allocation, as well as promoting a culture of fall prevention. He describes systems that support ongoing practice change regarding fall prevention as including: establishment of procedures for multi-factorial falls prevention assessment and care planning that is fully understood and implemented by all staff; and appointment of an individual resource to staff who can support prevention initiatives. In a quasi-experimental study using a multidisciplinary team intervention, Vassallo et al. (2004b) identified a significant difference in the number of falls and fall injuries, recommending multidisciplinary falls prevention programs.

Healey et al. (2004) found pre-printed care plans as a method of risk reduction to be associated with a significant reduction of falls and with a significant difference between the control group. A few consensus-based falls prevention guidelines recommend the systems use of Resident Assessment Protocol (RAP) for the development of individualized care plans for the prevention of falls for residents in complex continuing care (AMDA, 1998; Queensland Health, 2003). The use of plans of care for the prevention of institutional falls is also recommended by AMDA (1998).
A number of randomized controlled trials demonstrating effectiveness in the reduction of falls have included education as an intervention (Becker et al., 2003; Dyer et al., 2004; Haines et al., 2004; Jensen et al., 2003; Kerse et al., 2004). Becker et al. (2003) provided staff with a one hour seminar and written information related to incidence, modifiable risk factors and other preventative measures. Kerse et al. (2004) added information about specific fall prevention strategies, indications for physical and occupational therapy. Jensen et al. (2003) extended the time available for staff education to four hours.

Completion of a falls or incident report following a fall is recommended (AMDA, 1998; Healey et al., 2004; Queensland Health, 2003; Rubenstein et al., 1996). In a survey of post-fall assessment in 379 long-term care facilities Miceli et al. (2004) recommended policies and procedures for post-fall management. Post-fall management should include:
- assessment of potential injury associated with the fall;
- immediate treatment following the fall; and
- determination of contributing factors, location, time and related activity.

In addition to the above, Jensen et al. (2002) concluded that post-fall problem-solving conferences, as one of several interventions, were effective in reducing falls.

**Medication Review**

**Recommendation 8.0**

Organizations implement processes to effectively manage polypharmacy and psychotropic medications including regular medication reviews and exploration of alternatives to psychotropic medication for sedation.

**Level IV**

**Discussion of Evidence**

Jensen et al. (2003) and AMDA (1998) identify that a program for regular multidisciplinary medication reviews may assist in evaluating response to medications over time and how this is related to fall activity. Organizations subsequently, could benefit not only in terms of improved client outcomes, but in terms of the reducing the financial burden associated with falls and medication use (*Recommendation 2.3*).
RNAs Toolkit

Recommendation 9.0

Nursing best practice guidelines can be successfully implemented only where there are adequate planning, resources, organizational and administrative support, as well as appropriate facilitation. Organizations may wish to develop a plan for implementation that includes:

- An assessment of organizational readiness and barriers to education.
- Involvement of all members (whether in a direct or indirect supportive function) who will contribute to the implementation process.
- Dedication of a qualified individual to provide the support needed for the education and implementation process.
- Ongoing opportunities for discussion and education to reinforce the importance of best practices.
- Opportunities for reflection on personal and organizational experience in implementing guidelines.

In this regard, RNAO (through a panel of nurses, researchers and administrators) has developed the Toolkit: Implementation of Clinical Practice Guidelines based on available evidence, theoretical perspectives and consensus. The Toolkit is recommended for guiding the implementation of the RNAO guideline Prevention of Falls and Fall Injuries in the Older Adult. Level IV

Discussion of Evidence

Graham et al. (2002) indicate that in order for guidelines to be implemented successfully, a critical step must be the formal adoption of the guidelines by the organization. One way this can be accomplished is by incorporating recommendations into policy and procedures. This key step helps to provide direction regarding the expectation of the organization and facilitates integration of the guideline into such systems as the quality management process.

New initiatives such as the implementation of best practice guidelines require strong leadership from nurses who are able to transform the evidence-based recommendations into useful tools that will assist in directing practice. It is suggested that the RNAO Toolkit (2002) be considered to assist organizations to develop the leadership required for successful implementation. Refer to Appendix F for a description of the RNAO Toolkit: Implementation of Clinical Practice Guidelines.
Research Gaps & Future Implications

Several authors have made suggestions for further research in the area of falls prevention. In a systematic review of the literature on acceptance and compliance with external hip protectors, van Schoor et al. (2002) has recommended evaluation of compliance of hip guard use. Weigand et al. (2001), in a randomized controlled trial of patients seen in emergency departments, recommended evaluating the effectiveness of clinical interventions to identify, counsel and refer emergency patients who are over the age of 65 years who are at high risk for an unintentional fall. Verhagen et al. (2002) recommended that more randomized controlled trials are required to evaluate the effect of Tai Chi in the elderly as it relates to the prevention of falls. More research on fall prevention in the hospital setting is recommended related to interventions that are effective in reduction of falls (Vassallo et al., 2004b), and associated with the frail elderly with impaired cognition (Jensen et al., 2003).

The revision panel, in reviewing the evidence for this guideline, has identified additional gaps in the research literature related to reducing falls and fall injuries in the older adult, particularly with respect to evidence using randomized approaches. In considering the research gaps, the following research priorities were identified that would benefit client outcomes:

■ Explore the psychological effects of falls and/or fear of falling and the impact on clients’ confidence to perform daily activities.

■ Effectiveness of providing non-pharmacological approaches for clients with impaired cognition and emotional/behavioural care needs in reducing falls and fall injuries.

■ Effectiveness of using a transfer plan based on individualized assessment and re-evaluation of the plan as the client’s functional status changes.

■ Effectiveness of specific forms of exercise to maximize mobility and physical activity in the older adult and prevent the number of falls. In particular, when is the best time to institute exercise/mobility programs and when are they most effective?

■ Identify what fall prevention interventions are most effective in client populations with cognitive deficits/dementia.

■ Identify strategies for developing individualized, evidence-based multi-factorial interventions founded on the relationship between risk factors and individualized clinical needs. The goal is to supplement clinical expertise in selecting components of the multi-factorial intervention with knowledge gathered from the scientific literature.

■ Effectiveness of risk screening with consideration of specific populations represented among individuals receiving care in various health care facilities.
**Evaluation/Monitoring of Guideline**

It is suggested that organizations implementing the recommendations in this nursing best practice guideline consider how the implementation and its impact will be monitored and evaluated.

Whereas outcome evaluations possess several quantitative indicators driven largely by the literature base upon which this guideline was developed, implementation evaluations will often be more qualitative in nature and will focus on a sufficient allotment of resources (financial, personnel, subjects, etc.) required to support successful implementation/maintenance of the recommendations in the guideline.

To this end, several factors must be taken into consideration when implementing recommendations. It is essential that implementation proceed in a manner that is consistent with the circumstances, setting, and population investigated in the scientific literature upon which the recommendation is based, otherwise it is impossible to evaluate a departure of actual results from the expected outcomes. For example, the multifactorial intervention recommendation requires that the components of the intervention be tailored to the individual’s needs. If the facility implementing the recommendation decides instead to structure the multifactorial interventions based on client group (for example, amputees vs. stroke patients), then the desired outcome of fall reduction may not be achieved. In this case, it is impossible to determine whether the desired outcome failed to be achieved because the intervention was ineffective, or whether the intervention was applied inappropriately. In addition, proper implementation ensures consistency across different facilities where multiple sites are involved.

It is recommended that any implementation of recommendations proceed with respect to the objectives of the implementation program. For each objective, administrative and personnel support must be sufficient for the objective to be achieved. In the absence of sufficient resources to both implement and ensure the sustainability of a program, the presence of a population of interest is of little value. The following factors for consideration will assist in ensuring the recommendations from this guideline have been implemented properly and will provide the foundation for a rigorous evaluation of its outcomes.

**Objectives**
1. What are the objectives of the implementation program?
2. What are the imposed timelines for achievement of objectives/interim goals?

**Program Administration**
1. Is there sufficient budget in place to cover expenses of the program? What time period is covered by funds?
2. What are the major costs? What are the capital/start-up/maintenance expenses?
3. What is the “per patient” cost of the program?
4. Are there contractual obligations in implementing the program? Will they be met?
5. What costs associated with the program will be borne by the facility’s operating budget?
6. What are the internal/external reporting relationships associated with the program?
7. Will there be interim reviews of the program’s effectiveness? What resources will be required?
8. Has an individual/committee been tasked with ongoing oversight of the program?
Program Staff
1. How many clinical/administrative/support staff will be required to implement the program?
2. Has staff been provided with a clear description of their roles/responsibilities?
3. What specialty staff is required?
4. What resources are required to train staff?
5. How will adequacy of training be evaluated?
6. What is staff turnover like on the unit? Has succession planning been considered in the work plan?
7. Are any external resources required to support the program?

Program Participants
1. For what population was the program designed (age, patient classification, level of function, etc.)?
2. What is the typical length of stay? Will it provide for sufficient data collection?
3. On what basis are participants selected for recruitment? What resources (clinical assessment, evaluation instruments, etc.) will be required to determine appropriateness for inclusion?
4. How have participants been grouped? I.e., if experimental and controls groups are desired, how are they to be randomized (individually, by room, by unit, etc.)?
5. Are there any systematic differences between experimental and controls groups (e.g., age, co-morbidities, functional capacity, disease state, etc.) that may affect the outcome of the program evaluation?
6. Has a contingency plan been prepared to account for patient drop-out? Poor compliance?
7. Will participants be actively involved in program? If so, how will program goals, and interim results be communicated to participants?

Other Programs
1. What other programs/guidelines have been/will be implemented during the course of the program?
2. What are their objectives?
3. Will programs conflict (i.e., staffing demands, resources)?

Shanley (2003) notes that systems for monitoring falls and associated fall injuries need to be comprehensive, easy to use and well integrated with other systems in the facility that includes a feedback and action process on results, such as with quality and risk management processes within organizations. Both AMDA (1998) and Queensland Health (2003) recommend use of existing quality improvement and or risk management systems for monitoring review of falls and the use of minimum data set (MDS) as a system source for complex continuing care clinical data, for evaluation of contributing factors associated with falls. As well, these guidelines recommend the monitoring of specific factors such as the incidence of injuries associated with falls, and associated clinical conditions such as functional ability.
The following table, based on a framework outlined in the RNAO *Toolkit: Implementation of Clinical Practice Guidelines* (2002) illustrates some indicators for monitoring and evaluation:

<table>
<thead>
<tr>
<th>Organization/Unit</th>
<th>Structure</th>
<th>Process</th>
<th>Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>Objectives</td>
<td>To evaluate the supports available in the organization that allow for nurses to prevent falls and fall injuries in the older adult.</td>
<td>To evaluate the changes in practice that lead towards improved outcomes with respect to falls and fall injuries.</td>
<td>To evaluate the impact of implementation of the recommendations.</td>
</tr>
<tr>
<td></td>
<td>Review of best practice guideline recommendations by organizational committee(s) responsible for policies or procedures that relate to the recommendations in this guideline.</td>
<td>Interdisciplinary approach to risk assessment and treatment.</td>
<td>Acute care recovery days related to falls.</td>
</tr>
<tr>
<td></td>
<td>Structures to support nurses to attend educational sessions.</td>
<td>Number and types of professions involved in planning and implementation of fall prevention programs.</td>
<td>Readmission rates related to falls and fall injuries.</td>
</tr>
<tr>
<td></td>
<td>Structures to support nurses, and other professions, participation in risk assessment and collaborative consultation related to fall prevention.</td>
<td>Policies in place regarding informed consent.</td>
<td>Rehabilitation days related to falls.</td>
</tr>
<tr>
<td></td>
<td>Availability of structured assessment tools/algorithms.</td>
<td></td>
<td>Presence of psychotropic utilization reviews.</td>
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<tr>
<td></td>
<td>Nurses' participation in committee meetings.</td>
<td></td>
<td>Best Practice Guideline is accessible to staff.</td>
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<td></td>
<td>Number of Resource Champions.</td>
<td></td>
<td>Best Practice Guideline is incorporated into orientation of staff.</td>
</tr>
<tr>
<td></td>
<td>Number of instruments used in the RNAO <em>Toolkit</em>.</td>
<td></td>
<td>Number of fall prevention program strategies implemented.</td>
</tr>
<tr>
<td></td>
<td>Type of equipment and supplies introduced.</td>
<td></td>
<td>Activity program utilization.</td>
</tr>
<tr>
<td></td>
<td>Alternatives to restraints are available and accessible.</td>
<td></td>
<td>Types of environmental changes made.</td>
</tr>
</tbody>
</table>
### Nursing Best Practice Guideline

<table>
<thead>
<tr>
<th>Nurse/Provider</th>
<th>Process</th>
<th>Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Evidence of documentation in client record consistent with guideline recommendations regarding:</td>
<td>Nurse satisfaction with content and process of training.</td>
</tr>
<tr>
<td></td>
<td>Assessment;</td>
<td>Documentation of falls.</td>
</tr>
<tr>
<td></td>
<td>Care Plan; and</td>
<td>Application of implementation strategies (use of screening tools,</td>
</tr>
<tr>
<td></td>
<td>Interventions to reduce falls and fall injuries.</td>
<td>environmental scans, prevalence studies).</td>
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<td></td>
<td></td>
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<tr>
<td>Number of staff</td>
<td></td>
<td></td>
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<tr>
<td>attending educational</td>
<td></td>
<td></td>
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<tr>
<td>sessions.</td>
<td></td>
<td></td>
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<tr>
<td>Number of training</td>
<td></td>
<td></td>
</tr>
<tr>
<td>days/hours.</td>
<td></td>
<td></td>
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<tr>
<td>Client</td>
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<td></td>
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<tr>
<td></td>
<td>Organizational philosophy supports client's rights to risk taking, autonomy and self determination.</td>
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<td></td>
<td>Client's perspective, individual desires and needs are central to the application of the guideline.</td>
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<td></td>
<td>Client is an active participant around decisions regarding his/her care and in relation to these</td>
<td></td>
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<tr>
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<td>guidelines.</td>
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<td></td>
<td></td>
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<tr>
<td>Process</td>
<td>Number of consultations regarding mobility, seating, and risk of falls.</td>
<td>Number of falls.</td>
</tr>
<tr>
<td></td>
<td>Documentation regarding decisions on alternatives to, use of, consent for and monitoring of</td>
<td>Number and nature of fall injuries.</td>
</tr>
<tr>
<td></td>
<td>restrictive devices.</td>
<td>Number of repeated falls.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Prevalence of use of assistive devices.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Number of risk assessments completed on all new admissions and post-fall.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Volume and type of restraint use.</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Client's satisfaction regarding fall prevention interventions.</td>
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<td></td>
<td></td>
<td></td>
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<tr>
<td>Number of</td>
<td></td>
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<tr>
<td>consultations</td>
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<td>regarding</td>
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<td>mobility, seating,</td>
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<tr>
<td>and risk of falls.</td>
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<tr>
<td>Financial Costs</td>
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<tr>
<td></td>
<td>Implementation costs related to falls and fall injuries in the older adult including:</td>
<td></td>
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<tr>
<td></td>
<td>■ Cost of staff training;</td>
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<tr>
<td></td>
<td>■ Cost of supplies and equipment;</td>
<td></td>
</tr>
<tr>
<td></td>
<td>■ Cost of consultation training;</td>
<td></td>
</tr>
<tr>
<td></td>
<td>■ Cost of risk management; and</td>
<td></td>
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<tr>
<td></td>
<td>■ Documentation/monitoring system.</td>
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<td></td>
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<tr>
<td></td>
<td>Impact on Case Mix Index (CMI) cost/pt. day related to fall injuries.</td>
<td></td>
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</tbody>
</table>
Implementation Strategies

The Registered Nurses’ Association of Ontario and the guideline development panel have compiled a list of implementation strategies to assist healthcare organizations or healthcare disciplines who are interested in implementing this guideline. A summary of these strategies follows:

- Have a dedicated person such as an advanced practice nurse or a clinical resource nurse who will provide support, clinical expertise and leadership. The individual should also have good interpersonal, facilitation and project management skills.

- Establish a steering committee comprised of key stakeholders and members committed to leading the initiative. Keep a work plan to track activities, responsibilities and timelines.

- Provide educational sessions and ongoing support for implementation. The education sessions may consist of presentations, facilitator’s guide, handouts, and case studies. Binders, posters and pocket cards may be used as ongoing reminders of the training. Plan education sessions that are interactive, include problem solving, address issues of immediate concern and offer opportunities to practice new skills (Davies & Edwards, 2004).

- Provide organizational support such as having the structures in place to facilitate the implementation. For example, hiring replacement staff so participants will not be distracted by concerns about work and having an organizational philosophy that reflects the value of best practices through policies and procedures. Develop new assessment and documentation tools (Davies & Edwards, 2004).

- Identify and support designated best practice champions on each unit to promote and support implementation. Celebrate milestones and achievements, acknowledging work well done (Davies & Edwards, 2004).

- Organizations implementing this guideline should look at a range of self-learning, group learning, mentorship and reinforcement strategies that will over time, build the knowledge and confidence of nurses in implementing this guideline.

- Beyond skilled nurses, the infrastructure required to implement this guideline includes access to specialized equipment and treatment materials. Orientation of the staff to the use of specific products must be provided and regular refresher training planned.

- RNAO’s Advanced/Clinical Practice Fellowships (ACPF) Project is another way that registered nurses in Ontario may apply for a fellowship and have an opportunity to work with a mentor who has expertise in the clinical area described in this guideline. With the ACPF, the nurse fellow will have the opportunity to hone their skills in prevention of falls and fall injuries in the older adult.
Champions – Identify, develop and support Best Practice Champions and include people who have expertise in the topic area, facilitation skills, and knowledge of adult education principles in order to support, develop, mentor, and train other nurses within organizations to ensure knowledge transfer.

In addition to the strategies mentioned above, the RNAO has developed resources that are available on the website. A Toolkit for implementing guidelines can be helpful if used appropriately. A brief description of this Toolkit can be found in Appendix F. A full version of the document in PDF format is also available at the RNAO website, www.rnao.org/bestpractices.

Process for Update/Review of Guideline

The Registered Nurses’ Association of Ontario proposes to update the best practice guidelines as follows:

1. Each nursing best practice guideline will be reviewed by a team of specialists (Review Team) in the topic area every three years following the last set of revisions.

2. During the three-year period between development and revision, RNAO nursing best practice guidelines program staff will regularly monitor for relevant literature in the field.

3. Based on the results of the monitor, program staff will recommend an earlier revision period. Appropriate consultation with a team of members comprising original panel members and other specialists in the field will help inform the decision to review and revise the guideline earlier than the three-year milestone.

4. Three months prior to the three year review milestone, the program staff will commence the planning of the review process by:
   a. Inviting specialists in the field to participate in the Review Team. The Review Team will be comprised of members from the original panel as well as other recommended specialists.
   b. Compiling feedback received, questions encountered during the dissemination phase as well as other comments and experiences of implementation sites.
   c. Compiling new clinical practice guidelines in the field, systematic reviews, meta-analysis papers, technical reviews, randomized controlled trial research, and other relevant literature.
   d. Developing detailed work plan with target dates and deliverables.

The revised guideline will undergo dissemination based on established structures and processes.
Prevention of Falls and Fall Injuries in the Older Adult

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Ledford, L. (1996). *Prevention of falls researched-based protocol*. In M. G. Titles (Series Ed.), Series on Evidence-Based Practice for Older Adults, Iowa City, I. A: The University of Iowa Gerontological Nursing Interventions Research Center, Research Translation and Dissemination Core.


Prevention of Falls and Fall Injuries in the Older Adult


Salisbury Lyon, S. (2004). *Fall prevention for older adults evidence-based protocol*. In M. G. Titler (Series Ed.), Series on Evidence-Based Practice for Older Adults, Iowa City, IA: The University of Iowa College of Nursing Gerontological Nursing Interventions Research Centre, Research Translation and Dissemination Core.


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Prevention of Falls and Fall Injuries in the Older Adult


Prevention of Falls and Fall Injuries in the Older Adult


Appendix A: Search Strategy for Existing Evidence

The search strategy utilized during the revision of this guideline focused on two key areas. One was the identification of new guidelines published on the topic of the prevention of falls and fall injuries in the older adult since the original guideline was published in 2002, and the second was to identify systematic reviews, and primary studies published in this area from 2002 to 2004.

STEP 1 – DATABASE Search

A database search for existing evidence related to prevention of falls and fall injuries in the older adult was conducted by a university health sciences library. An initial search of the Medline, Embase and CINAHL databases for guidelines and studies published from 2001 to 2004 was conducted in August 2004. A subsequent search of PubMed was conducted in October 2004. The P I. C. O. (Population, Intervention, Control, Outcome) method was used to generate the parameters of the clinical questions and to guide the search. The components of the P I. C. O. method are:

- The type of Population involved;
- The type of Intervention investigated;
- The type of Control used as comparator; and
- The Outcomes to be addressed.

The parameters of each of the components are listed below.

- Population – institutionalized elderly at or over the age of 65
- Interventions
  - Special population
  - Footwear
  - Cognitive strategies, dementia
  - Hip protectors, Home safety, home hazard
  - Self care, activities of daily living (ADLs), life skills
  - Risk screening, Fall risk/risk factors
  - Tai Chi
  - Balance, Gait, Mobility, Strength
  - Exercise
  - Multi-factorial – or Single-intervention program
  - Vitamin D, Calcium
  - Vision
  - Continence
  - Efficacy
- Control – no intervention or alternate intervention
- Outcomes – reduction of falls, reduction of fall injuries

An example of a clinical question would be “Does exercise training reduce the incidence of falls in institutionalized elderly compared to those who did not undergo exercise training (or underwent an alternative 'control' intervention)?”
STEP 2 – Structured Web Site Search

One individual searched an established list of web sites for content related to the topic area in July 2004. This list of sites, reviewed and updated in May 2004, was compiled based on existing knowledge of evidence-based practice web sites, known guideline developers, and recommendations from the literature. Presence or absence of guidelines was noted for each site searched as well as date searched. The web sites at times did not house a guideline but directed to another web site or source for guideline retrieval. Guidelines were either downloaded if full versions were available or were ordered by phone/email.

- Alberta Heritage Foundation for Medical Research – Health Technology Assessment: [http://www.ahfmr.ab.ca/hta](http://www.ahfmr.ab.ca/hta)
- Alberta Medical Association – Clinical Practice Guidelines: [http://www.albertadoctors.org](http://www.albertadoctors.org)
- American College of Chest Physicians: [http://www.chestnet.org/guidelines](http://www.chestnet.org/guidelines)
- Bandolier Journal: [http://www.jr2.ox.ac.uk/bandolier](http://www.jr2.ox.ac.uk/bandolier)
- Canadian Centre for Health Evidence: [http://www.cche.net/che/home.asp](http://www.cche.net/che/home.asp)
- Canadian Cochrane Network and Centre: [http://cochrane.mcmaster.ca](http://cochrane.mcmaster.ca)
- Canadian Coordinating Office for Health Technology Assessment: [http://www.ccohta.ca/](http://www.ccohta.ca/)
- Canadian Institute of Health Information: [http://www.cihi.ca](http://www.cihi.ca)
- Canadian Task Force on Preventive Health Care: [http://www.ctfphc.org](http://www.ctfphc.org)
- Centers for Disease Control and Prevention: [http://www.cdc.gov](http://www.cdc.gov)
- Centre for Evidence-Based Mental Health: [http://cebnh.com](http://cebnh.com)
- Centre for Evidence-Based Nursing: [http://www.york.ac.uk/healthsciences/centres/evidence/cebn.htm](http://www.york.ac.uk/healthsciences/centres/evidence/cebn.htm)
- Centre for Evidence-Based Pharmacotherapy: [http://www.aston.ac.uk/lhs/teaching/pharmacy/cebp](http://www.aston.ac.uk/lhs/teaching/pharmacy/cebp)
- Centre for Health Evidence: [http://www.cche.net/che/home.asp](http://www.cche.net/che/home.asp)
- Centre for Health Services and Policy Research: [http://www.chspr.ubc.ca](http://www.chspr.ubc.ca)
- Cochrane Database of Systematic Reviews: [http://www3.interscience.wiley.com/cgi-bin/mrw/home/106568753/HOME](http://www3.interscience.wiley.com/cgi-bin/mrw/home/106568753/HOME)
- Database of Abstracts of Reviews of Effectiveness (DARE): [http://www.york.ac.uk/inst/crd/darehp.htm](http://www.york.ac.uk/inst/crd/darehp.htm)
- Evidence-based On-Call: [http://www.eboncall.org](http://www.eboncall.org)
- Guidelines Advisory Committee: [http://qaaguidelines.ca](http://qaaguidelines.ca)
- Institute for Clinical Evaluative Sciences: [http://www.ices.on.ca](http://www.ices.on.ca)
- Institute of Child Health: [http://www.ich.ucl.ac.uk/ich](http://www.ich.ucl.ac.uk/ich)
- Netting the Evidence: A SchARR Introduction to Evidence-Based Practice on the Internet: [http://www.shef.ac.uk/scharr/ir/netting](http://www.shef.ac.uk/scharr/ir/netting)
A web site search for existing practice guidelines on prevention of falls and fall injuries in the older adult was conducted via the search engine “Google”, using key search terms. One individual conducted this search, noting the results of the search, the web sites reviewed, date and a summary of the results. The search results were further reviewed by a second individual who identified guidelines and literature not previously retrieved.

Additionally, panel members were asked to review personal archives to identify guidelines not previously found through the above search strategy. Results of this strategy revealed no additional clinical practice guidelines.

The search strategy described above resulted in the retrieval of numerous abstracts on the topic which were then screened by a research assistant according to inclusion/exclusion criteria related to the target population, intervention, control and outcome. This resulted in a set of abstracts that were identified for article retrieval and quality appraisal.

In addition, two clinical practice guidelines were identified for review by the panel. These guidelines included:

- Salsbury Lyon, S. (2004). Fall prevention for older adults evidence-based protocol. Fall prevention for older adults evidence-based protocol. In M. G. Titler (Series Ed.), Series on Evidence-Based Practice for Older Adults, Iowa City, IA: The University of Iowa College of Nursing Gerontological Nursing Interventions Research Centre, Research Translation and Dissemination Core.
## Prevention of Falls and Fall Injuries in the Older Adult

### Appendix B: Study Selection Form

Citation: 
Name of Reviewer: ____________________  Date of Review: ____________________

**Selection Criteria** (check where appropriate)

**Level of Evidence**
- [ ] Ia
- [ ] Ib
- [ ] IIA
- [ ] IIB
- [ ] III
- [ ] IV

**Population**
- [ ] Were the Ss institutionalized?
- [ ] Were the Ss > 65 yrs of age?
  
  *Ss = Subjects*

**Study Intervention**
- [ ] For investigation of multidimensional exercise interventions, did the authors apply an analysis appropriate to determine the contributions of the individual components?
- [ ] Did the intervention involve at least one of the following:
  - Special population
  - Cognitive strategies, dementia
  - Self care, ADLs, life skills
  - Tai Chi
  - Exercise
  - Vitamin D, Calcium
  - Continence
  - Footwear
  - Hip protectors, home safety, home hazard
  - Risk screening, fall risk/risk factors
  - Balance, Gait, Mobility, Strength
  - Multi-factorial – or Single-intervention program
  - Vision
  - Efficacy

**Control Intervention**
- [ ] Did the control group receive either no intervention or an alternative ‘control’ intervention (e.g., pamphlets)?

**Outcomes**
- [ ] Was one of the measured outcomes a documented or reported episode of accidental fall?

**ACTION:** Include: __________  Exclude: __________

**Reason(s) for exclusion:**

- 
- 
- 
- 

Appendix C: Tools for Risk Assessment

1. Morse Fall Scale
   Author: Morse, J. M., Morse, R., & Tylko, S. (1989)

   The Morse Fall Scale (MFS) (Morse, Morse, & Tylko, 1989) is used widely in acute care settings, both in hospital and long-term care inpatient settings. The MFS requires systematic, reliable assessment of a client's fall risk factors upon admission, after a fall, with a change in status, and at discharge or transfer to a new setting.

   Available: The National Center for Patient Safety (NCPS)
   http://www.patientsafety.gov/SafetyTopics/Falls/FallPrev/Morse.html

2. STRATIFY (St. Thomas Risk Assessment Tool in Falling Elderly Inpatients)

   The St. Thomas's risk assessment tool (STRATIFY) (Oliver et al., 1997) is used to identify clinical fall risk factors in the elderly and to predict chance of falling.

   Available: Dr. Oliver
   Address: Department of Elderly Care (Division of Medicine)
            United Medical and Dental Schools,
            St. Thomas's Hospital
            London, UK SE1 7EH

3. Hendrich II Fall Risk Model©

   The Hendrich II Fall Risk Model© (Hendrich, Nyhuuis, Kppenbrock, & Soja, 1995) is used both nationally and internationally to identify patients at risk for falls.

   Contact: Ann Hendrich, Inc.
            P.O. Box 50346
            Clayton, MO 63105
            U.S.A.
            Phone: (866) 653-6660

   Licensing information available at: http://www.ahendrichinc.com/new_license.php
Appendix D: Patient Restraints Minimization Act, 2001

Legislative Assembly of Ontario
Bill 85: Patient Restraints Minimization Act, 2001

Title: An Act to minimize the use of restraints on patients in hospitals and on patients of facilities.

Explanatory Note:
The Bill governs the use of restraints on patients in hospitals and on patients of such facilities and organizations as are specified in the regulations (called “facilities” in the Bill). However, the Mental Health Act continues to govern the use of restraints on persons in psychiatric facilities. The Bill also governs the confinement of patients in hospitals and facilities to prevent serious bodily harm to themselves or to others, and the use of monitoring devices for that purpose.

Hospitals and facilities are prohibited from restraining or confining patients or from using monitoring devices on them except in the circumstances described in sections 5 and 6 of the Bill.

Hospitals and facilities are required to establish policies with respect to restraining and confining patients and using monitoring devices on them, and with respect to the use of alternative methods to prevent serious bodily harm by patients to themselves and others. The policies must encourage the use of alternative methods, whenever such methods are reasonably available.

Hospitals and facilities are also required to monitor and reassess patients who are under restraint, are confined or on whom a monitoring device is being used. The regulations set out the requirements to be met for monitoring and reassessing such patients.

Only physicians and persons specified in the regulations are authorized to write an order to restrain or confine a patient in a hospital or facility or to use a monitoring device on such a patient. The regulations may prohibit the use of standing orders for restraint, confinement or the use of monitoring devices.

Stakeholders
1) Canadian Institute for Health Information
   Quality of Chronic Care in Ontario Improving
   Press release, November 1, 2000

2) National Institute of Nursing Research. *Long Term Care for Older Adults* (1994), Chap. 5, “Problems Associated with the Use of Physical Restraints”.

# Appendix E: Resources and Useful Web sites

<table>
<thead>
<tr>
<th>Resource</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td>Restorative Care Education and Training Program</td>
<td>The Centre for Activity and Aging</td>
</tr>
<tr>
<td></td>
<td>The University of Western Ontario</td>
</tr>
<tr>
<td></td>
<td>London, Ontario N6G 1K7</td>
</tr>
<tr>
<td></td>
<td>Phone: (519) 661-1603</td>
</tr>
<tr>
<td></td>
<td>Fax: (519) 661-1612</td>
</tr>
<tr>
<td></td>
<td><a href="http://www.uwo.ca/actage/comm_collaboration/raetp.htm">http://www.uwo.ca/actage/comm_collaboration/raetp.htm</a></td>
</tr>
<tr>
<td>Restraints</td>
<td>College of Nurses of Ontario</td>
</tr>
<tr>
<td></td>
<td><a href="http://www.cno.org/docs/prac/41043_Restraints.pdf">http://www.cno.org/docs/prac/41043_Restraints.pdf</a></td>
</tr>
<tr>
<td>Report of the Restraints Task Force: Minimizing the use of Restraints in Ontario Hospitals</td>
<td>Ontario Hospital Association (November 2, 2001)</td>
</tr>
<tr>
<td></td>
<td><a href="http://www.oha.com">http://www.oha.com</a> (See Reports and Studies)</td>
</tr>
<tr>
<td>Patient Restraints Minimization Act, 2001</td>
<td>Ontario Legislative Library</td>
</tr>
</tbody>
</table>
Appendix F: Description of the Toolkit

Best practice guidelines can only be successfully implemented if there are: adequate planning, resources, organizational and administrative support as well as appropriate facilitation. In this light, RNAO, through a panel of nurses, researchers and administrators has developed the Toolkit: Implementation of Clinical Practice Guidelines based on available evidence, theoretical perspectives and consensus. The Toolkit is recommended for guiding the implementation of any clinical practice guideline in a health care organization.

The Toolkit provides step-by-step directions to individuals and groups involved in planning, coordinating, and facilitating the guideline implementation. Specifically, the Toolkit addresses the following key steps:

1. Identifying a well-developed, evidence-based clinical practice guideline
2. Identification, assessment and engagement of stakeholders
3. Assessment of environmental readiness for guideline implementation
4. Identifying and planning evidence-based implementation strategies
5. Planning and implementing evaluation
6. Identifying and securing required resources for implementation

Implementing guidelines in practice that result in successful practice changes and positive clinical impact is a complex undertaking. The Toolkit is one key resource for managing this process.

The Toolkit is available through the Registered Nurses’ Association of Ontario. The document is available in a bound format for a nominal fee, and is also available free of charge from the RNAO website. For more information, an order form or to download the Toolkit, please visit the RNAO website at www.rnao.org/bestpractices.
Supplement Integration

Similar to the original guideline publication, this document needs to be reviewed and applied, based on the specific needs of the organization or practice setting/environment, as well as the needs and wishes of the client. This supplement should be used in conjunction with the guideline: Prevention of Falls and Fall Injuries in the Older Adult (Registered Nurses’ Association [RNAO], rev. 2005) as a tool to assist in decision-making for individualized client care, as well as ensuring that appropriate structures and supports are in place to provide the best possible care.

Background

Nurses will continue to be instrumental in the provision of care strategies for the prevention of falls and fall injuries in the older adult. Since the 2005 publication of this guideline current epidemiological data suggests that falls continue to result in significant economic costs to Canadians and can profoundly affect the quality of life of older adults, a growing segment of the Canadian population (Scott, Wagar, & Elliott, 2010).

Falls are the leading cause of overall injury costs in Canada and account for $6.2 billion or 31% of total costs of all injuries (Smartrisk, 2009). In 2009, nearly 1.7 million people, or 41% of those who reported an injury, stated they were injured in a fall (Statistics Canada, 2009).

In 2008 - 2009, 53,545 Canadian older adults were admitted to hospital because of a fall and these falls accounted for 85% of major injury hospitalizations among Canadian older adults (Scott et al., 2010). Hip fractures accounted for nearly 40% of the fall related injuries which increased the average hospital’s length stay by six days when a senior was admitted with a fall-related injury (Scott et al.). The estimated average cost of a hip fixation/repair for someone over the age of 60 ranged from $11,807 to $13,451 (Canadian Institute for Health Information [CIHI], 2010). Furthermore, nearly 30% of non-residential fallers were transferred to residential care after a fall-related hospitalization (CIHI).
Revision Process
The Registered Nurses’ Association of Ontario has made a commitment to ensure that this practice guideline is based on the best available evidence. In order to meet this commitment, a monitoring and revision process has been established for each guideline.

A panel of nurses and other health care professionals was assembled for this review, comprised of members from the original development panel as well as other recommended individuals with particular expertise in this practice area. A structured evidence review based on the scope of the original guideline and supported by 11 clinical questions was conducted to capture the relevant literature and guidelines published since the last revision of this guideline in 2005. The following research questions were established to guide the literature review:

1. What are the risk factors/contributing factors or predictors for falls and fall injuries in the older adult population?
2. a. What is the effectiveness of high level risk screening?
   b. What validated tools are available for high level risk screening?
3. What is the effectiveness of subsequent individual risk factor screening?
4. What is the effect of the individual care plans associated with specific recommended interventions?
5. What is the evidence for falls and fall injuries prevention?
6. What interventions do nurses need to implement to prevent falls and fall injuries?
7. How effective are the following in the prevention of falls and/or fall injuries:
   a) Assessment and modification of the environment?
   b) Education of client, family and staff?
   c) Equipment, use of mobility aids and assistive devices, hip protectors etc?
   d) Functional Therapy and restorative programs - activity, physical & social?
   e) Health Management: includes medication reviews, vision tests, bone health, nutrition and hydration status, chronic disease management?
   f) Targeting client's behaviour change, including choices for clothing, footwear etc?
8. What education do nurses need around strategies and interventions for falls and fall injuries prevention?
9. What are the benefits of preventing falls and who benefits?
10. What support does the organization need to provide to ensure nurses have the knowledge and skills for falls and fall injuries prevention?
11. What supports are needed for successful implementation of a falls prevention program?

Initial findings regarding the impact of the current evidence, based on the original recommendations, were summarized and circulated to the review panel. The revision panel members were given a mandate to review the guideline in light of the new evidence, specifically to ensure the validity, appropriateness and safety of the guideline recommendations as published in 2005.

Literature Review
One individual searched an established list of websites for guidelines and other relevant content. The list was compiled based on existing knowledge of evidence-based practice websites and recommendations from the literature.

Members of the panel critically appraised 14 national and international guidelines, published since 2005, using the “Appraisal of Guidelines for Research & Evaluation II” instrument (Brouwers et al., 2010). From this quality appraisal, the following five guidelines were identified to inform the review processes:

Concurrent with the review of existing guidelines, a search for recent literature relevant to the scope of the guideline was conducted with guidance from the Panel Leader. A search of electronic databases, (Medline, CINAHL and EMBASE) was conducted by a health sciences librarian. A Research Assistant (Masters prepared nurse) completed the inclusion/exclusion review, quality appraisal and data extraction of the retrieved studies, and prepared a summary of the literature findings. The comprehensive data tables and reference list were provided to all panel members.

**Review Findings**

In November 2010, the panel was convened to achieve consensus on the need to revise the existing set of recommendations. A review of the most recent literature and relevant guidelines published since March 2005 does not support dramatic changes to the recommendations, but rather suggests some refinements and stronger evidence for the approach. A summary of the review process is provided in the Review Process flow chart.
Summary of Evidence

The following content reflects the changes made to the 2005 publication of the guideline: Prevention of Falls and Fall Injuries in the Older Adult based on the consensus of the review panel. The literature review does not support dramatic changes to the recommendations, but rather suggests some refinements and stronger evidence for the approach.

• Changes to the guideline are summarized in **bold** in the following table:

<table>
<thead>
<tr>
<th>Guideline:</th>
<th>Changed to in 2011 Revision Document:</th>
</tr>
</thead>
</table>
| The following terms in recommendations and discussion of evidence:  
  • Multidisciplinary  
  • Interdisciplinary | New term used: Interprofessional |
| The following terms in recommendations and discussion of evidence:  
  • Older adult  
  • Elderly  
  • Seniors  
  • Clients | New terms used:  
  • Older adult  
  • Client |
| 1.0 Assessment  
  1.0 Assess fall risk on admission.  
  1.1 Assess fall risk after a fall. | 1.0 No Change to recommendation |
| 2.0 Tai Chi  
  Tai Chi to prevent falls in the elderly is recommended for those clients whose length of stay (LOS) is greater than four months for those clients with no history of a fall fracture. There is insufficient evidence to recommend Tai Chi to prevent falls for clients with LOS less than four months. | 2.0 Multi-factorial  
  Nurses as part of **interprofessional** team, implement multi-factorial fall prevention interventions to prevent future falls. |
| 2.1 Exercise  
  Nurses can use strength training as a component of multi-factorial fall interventions; however, there is insufficient evidence to recommend it as a stand-alone intervention. | 2.1 Exercise  
  Nurses **support physical training** as a component of multi-factorial fall prevention program taking into consideration client risk factors. |
| 2.2 Multi-factorial  
  Nurses as part of multidisciplinary team, implement multi-factorial fall prevention interventions to prevent future falls. | 2.2 Medications  
  Nurses, in consultation with the health care team, **should conduct medication reviews on admission and periodically throughout the continuum of clients’ care** to prevent falls among older adults in healthcare settings. **Clients taking multiple and known high risk medications should be identified at higher risk for falls.**  
  *(Level of evidence = Ia)* |
| 2.3 Medications | 2.3 Hip Protectors |
| 2.4 Hip Protectors | 2.4 Vitamin D  
  *(Level of evidence = Ia)* |
<table>
<thead>
<tr>
<th>2.5 Vitamin D</th>
<th>2.5 Client Education</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.6 Client Education</td>
<td>2.6 Environment</td>
</tr>
<tr>
<td>3.0 Environment</td>
<td>Education Recommendation</td>
</tr>
<tr>
<td>3.0 Nursing Education</td>
<td>Education on the prevention of falls and fall injuries should be included in nursing curricula and on-going education with specific attention to:</td>
</tr>
<tr>
<td></td>
<td>• Promoting safe mobility;</td>
</tr>
<tr>
<td></td>
<td>• Risk assessment;</td>
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<td></td>
<td>• Interprofessional strategies;</td>
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<td></td>
<td>• Risk management including post-fall follow-up;</td>
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<td></td>
<td>• Alternatives to restraints and/or other restrictive devices;</td>
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<tr>
<td></td>
<td>• Frequent bedside nursing visits; and</td>
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<tr>
<td></td>
<td>• Safe mobility and toileting.</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Education Recommendation</th>
<th>Organization &amp; Policy Recommendations</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.0 Nursing Education</td>
<td>4.0 Least Restraint - Siderails</td>
</tr>
<tr>
<td></td>
<td>(Level of evidence = IIb)</td>
</tr>
<tr>
<td></td>
<td>4.1 Least Restraint – Physical/Chemical Restraints</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>Organization &amp; Policy Recommendations</th>
<th>5.0 Organizational Support</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.0 Least Restraint - Siderails</td>
<td>Organizations create an environment that supports interventions for fall prevention that includes:</td>
</tr>
<tr>
<td>6.0 Least Restraint - Physical/Chemical Restraints</td>
<td>• Fall prevention programs;</td>
</tr>
<tr>
<td></td>
<td>• Staff education;</td>
</tr>
<tr>
<td></td>
<td>• Clinical consultation for risk assessment and intervention;</td>
</tr>
<tr>
<td></td>
<td>• Involvement of interprofessional teams in case management; and</td>
</tr>
<tr>
<td></td>
<td>• Availability of supplies and equipment such as transfer devices, high low beds, and bed exit alarms.</td>
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</tbody>
</table>

<table>
<thead>
<tr>
<th>5.1 Medication Review</th>
<th>6.0 RNAO Toolkit</th>
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<tr>
<th>7.0 Organizational Support</th>
<th>6.0 RNAO Toolkit</th>
</tr>
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<tbody>
<tr>
<td>8.0 Medication Review</td>
<td>9.0 RNAO Toolkit</td>
</tr>
</tbody>
</table>
Practice Recommendations

Assessment:

1.0 Assess fall risk on admission.  
(Level of evidence = Ib)

The discussion of evidence for this recommendation found on page 22 -23 of the guideline has been revised to reflect the additional literature support:

Discussion of Evidence

Risk screening continues to be supported in research as an effective method for identifying fall–prone individuals (AGS, 2010; ICSI, 2010; Safer Healthcare Now! [SHN], 2010). All individuals should be screened for risk of falls by a nurse on admission to identify factors known to increase the risk of falls (SHN). A number of falls risk screening tools have been developed to identify individuals with risk factors for falling who should undergo further comprehensive assessment by the interprofessional team in order to implement targeted falls prevention interventions in the individualized plan of care (SHN).

A repository of falls related assessment tools is available at the British Columbia Injury Research and Prevention Unit (BCIRPU) website http://www.injuryresearch.bc.ca/categorypages.aspx?catid=3&catname=Library to support the decision making process (SHN, 2010). The selection of a standardized and reliable tool is a challenging decision, as healthcare organization leaders need to consider the ease of use, training of staff to use the tool, the tool’s psychometric properties (validity and reliability), potential staff acceptance and adherence, and other factors (Haines, Bennell, Osborne, & Hill, 2006). For example, although the STRATIFY tool has been shown to predict falls risk for adults <75 years of age admitted to hospital medical and surgical units, it was not as predictive for older clients age 75-84 years admitted to geriatric wards (Milisen et al., 2007). Recent systematic reviews indicate that among the existing tools, few have been validated in more than one setting, and that there are currently no tools that can be applied reliably across different settings to accurately predict risk of falling among various populations (Cameron et al., 2010; Haines, Hill, Walsh, & Osborne, 2007; Scott, Votova, Scanlan, & Close, 2007). Heinze, Halfens, Roll, & Dassen (2006) found the Hendrich Fall Risk Model is not recommended for long term care settings.

Current literature is mixed on the ability of screening tools to predict falls. Tools may have limited value in high-risk populations such as the frail clients living in long term care settings. All such high risk clients should receive an assessment linked to evidence-based interventions (Scott et al., 2007). Some studies in long term care and hospital settings suggest comparable accuracy between the use of screening tools and nurses' clinical judgment alone to predict falls (Haines et al., 2007; Meyer, Kopke, Haastert, & Muhlhauser, 2009). A meta-analysis conducted by Haines et al., (2007) suggests that the Morse falls score and nursing clinical judgment could be used as “comparison instruments” (p. 671).

It is recommended that each organization should tailor their approach to the unique needs of their client population. If a decision is made to use an existing fall-risk assessment tool, the selected tool should be further validated internally for accuracy and ease of use for the practice setting (Chow et al., 2007; ICSI, 2010; Milisen et al., 2007). In addition to testing the psychometric properties of the selected tool (e.g. sensitivity and specificity to accurately predict falls), other factors, such as nursing time, costs, and training requirements should be considered.
Nurses should be aware that in addition to the risk factors associated with chronic conditions in the older adult, superimposed acute medical problems can further increase the risk of falls and injuries. Thus, screening for fall risk should occur on admission and after a significant change in the client’s condition including following a fall (SHN, 2010). A fall in the previous year is the strongest predictor for a future fall (Delbaere, et al., 2008; ICSI, 2010; Kallin, Gustafson, Sandman, & Karlsson, 2005; SHN).

Despite variations in risk profiles among older adults residing in various settings, a growing body of literature continues to identify common factors that may increase the risk of falls and injury from falls. Several studies have identified a subset of risk factors that are predictive of falls. Delbaere et al. (2008) indicate that healthcare settings should use a targeted screen to identify those at risk for falls due to the presence of the following risk factors, not able to stand unaided; poor balance; history of falls in the last year; residing in a long term care setting and/or incontinence. It should be noted that most falls among older adults are multi-factorial, resulting from the complex interaction and cumulative effect of risk factors. Research continues to validate falls risk factors such as psychoactive medication use (Agashivala & Wu, 2009; Liperoti et al., 2007), gait and balance difficulties (Krauss et al., 2005), environmental factors (Chen, Chien, & Chen, 2009; Sorock et al., 2009) and cognition (Kallin et al., 2005). The Safer Healthcare Now! Reducing Falls and Injuries From Falls, Getting Started Kit (SHN, 2010, pg. 18-19) at http://www.saferhealthcarenow.ca/EN/Interventions/Falls/Pages/default.aspx identifies screening parameters and gives examples of tools and approaches to screening.

The notion of screening and/or assessment can be challenging to health professionals in relation to selecting a tool for the initial assessment to identify factors related to fall risk in an individual. The best use of any tool is to identify the specific risk factors so that prevention can be tailored to the identified risks. It is important to conduct a screen to uncover fall risk (AGS, 2010). Organizations should ensure that a Falls Risk Assessment Tool (FRAT) is validated for the population and that further assessment is performed by clinicians with the appropriate knowledge, skills, and training if the initial screening indicates fall risk factors. A comprehensive assessment should then be completed including, a focused history, physical examination, medication review, cognitive, functional and environmental assessment (AGS). The assessment findings should be linked to evidenced-based interventions (Scott et al., 2007).

Although data from meta-analysis of randomized controlled trials (RCTs) of multi-factorial falls risk assessment and management programs show a reduction in the risk and incidence of falls, the effect of individual components of such programs has not been established (RNAO, rev. 2005; Cameron et al. 2010). This is partly due to the fact that intervention studies typically do not directly assess the relative effectiveness of each intervention component. Moreover, the risks assessed and the instruments used vary among studies. Therefore, although fall risk assessment has been an integral component of most multi-factorial fall prevention programs, the level of evidence specifically related to this recommendation is difficult to establish.

Additional Literature Support
ACSQHC (2009a, b)
Kehinde (2009)
MOS (2005)
# Assessment:

1.1 Assess fall risk after a fall.  
(Level of evidence = Ib)

*The discussion of evidence for this recommendation found on page 24 of the guideline has been revised to reflect the additional literature support:*

## Discussion of Evidence

A study by Gray-Miceli, Strumpf, Johnson, Draganescu, & Ratcliffe (2006) on the psychometric properties of Post Fall Index (PFI) instrument in older clients residing in long term care settings demonstrates the importance of a comprehensive nursing assessment to identify the underlying causes of a fall. A study by Kobayashi, Kusuma Wati, Yamamoto, Sugiyama, & Sugai (2009) in geriatric hospitals sought to identify potential factors associated with a repeat fall and found that being female and having unstable gait were risk factors. However, a combination of dementia with unstable gait was a significant risk factor. A study by Vassallo et al. (2009) followed clients admitted for rehabilitation and identified a cumulative higher risk for recurrent falls and injury from falls in clients with cognitive impairment and unsafe gait.

A detailed post fall assessment by the interprofessional team can help identify the reasons a client falls so that a comprehensive plan of care can be developed to prevent future falls. The importance of an interprofessional post fall assessment and subsequent implementation of targeted and planned interventions to reduce risk is supported in the literature because previous falls is one of the best indicators for future falls (ICSI, 2010; Kallin et al., 2005; SHN, 2010).

Risk factors for falling include biological and medical, behavioural, environmental, social and economic factors (ACSQHC, 2009a, b; SHN, 2010).

Post fall follow up procedures should include post fall interprofessional communication, consultation and analysis to identify the degree of injury, immediate client treatment post fall, the circumstances surrounding the fall, determining the contributing factors both client and non client, assessment of falls interventions in place, and follow up action plan which includes communication with client and family (ACSQHC, 2009a, b; AGS, 2010; ICSI, 2010; MOS, 2005; SHN, 2010). Gray-Miceli et al. (2006) identified the following domains as useful information for post fall interview (PFI):

### Details of the fall:
- client’s description of the fall,
- the nurse’s perception,
- the position from which the fall occurred (found to be the most useful question), and
- associated symptoms.

### Physical Examination:
- vital signs, includes checking for postural hypotension,
- presence of anticoagulation therapy,
- assessment of visual impairment, neck movement,
- cardiovascular assessment,
- musculoskeletal assessment, including ability to raise legs independently when in bed, level of assistance to get up off floor,
- assessment for leg weakness, foot problems, and proper shoe fit,
- neurological assessment, assessment of sensory loss, focal deficits, and sitting and standing balance.
**Behaviour:**
- notation of behaviour, wandering,
- locomotion and transfer ability, and
- restraint use.

**Environment Context:**
- location,
- footwear,
- floor surface type, and
- equipment.

Additional Literature Support
Bradley, Karani, McGinn, & Wisnivesky (2010)

**Intervention: Multi-factorial**

2.0 Nurses, as part of the interprofessional team, implement multi-factorial fall prevention interventions to prevent future falls.

(Level of evidence = Ib)

*The discussion of evidence for this recommendation found on page 25-26 of the guideline has been revised to reflect the additional literature support:*

**Discussion of Evidence**

Current literature supports that the most effective approach to falls prevention for health care facilities consists of multi-factorial and interprofessional interventions (ACSQHC, 2009a, b; SHN, 2010). A multi-factorial approach consists of the use of multiple interventions in combination that has been tailored to the individual risk profile based on a completed assessment (ACSQHC, SHN).

A systematic review of randomized controlled trials to determine the effectiveness of interventions to reduce falls in older adults by Cameron et al. (2010) revealed multi-factorial intervention approaches reduced the rate of falls and the risk of falling in clients in long term care settings and hospitals when clients experienced a longer length of stay (Cameron et al., pg. 28, 29, 30).

Rask et al. (2007) studied the effectiveness of multifaceted falls management programs which included the assessment of risk factors and correction of environmental and equipment hazards in long term care settings and identified a dramatic improvement in the documentation of the assessment and management of fall risk factors. Fall rates remained stable with a decrease in the use of restraints in the intervention homes whereas fall rates and restraint use increased 26% in the non intervention homes.

Implementing and evaluating a Falls Prevention Strategy is one of the 35 Required Organizational Practices (ROP) in Canada which is identified as an essential practice that organizations must have in place to ensure client safety and minimize risk (Accreditation Canada, 2010). Scott (2007) uses a model acronym **BEEeach** in the Canadian Falls Prevention Curriculum that incorporates intervention categories to consider such as: behaviour change – readiness for change, education of program participants (client, family, staff within organization); equipment considerations; environment assessment and modification; activity considerations; clothing/footwear; and health management which includes medication, vision, bone health, nutrition, hydration and chronic disease management. Therefore nurses working within interprofessional teams should consider the categories for an individual’s identified risk factors and provide targeted, multi-factorial interventions based on the individual’s risk profile.
The following chart outlines the BEEEACH categories and associated topics supported.

<table>
<thead>
<tr>
<th>Fall Intervention Category from Canadian Falls Prevention Curriculum - BEEEACH</th>
<th>Category Topic / Literature Supports</th>
</tr>
</thead>
</table>
| **Behaviour** | Assessment:  
ACSQHC (2009a, b)  
Schwendimann, Milisen, Buhler, & De Geest (2006)  
SHN (2010) |
| **Education** | Education on Risk Factors / Falls Risk / Prevention:  
ICSI (2010)  
SHN (2010)  
Sawka et al. (2010)  
Interventions for Risk Factors:  
ACSQHC (2009a, b)  
ICSI  
Organization Staff Education / Training:  
ACSQHC  
Bouwen, De Lepeleire, & Buntinx (2008)  
ICSI  
Krauss et al. (2008)  
SHN |
| **Equipment** | Chair or Bed Fall-Alarm Devices:  
ACSQHC (2009a, b)  
ICSI (2010)  
Mobility Aids:  
ICSI  
Visual Tools:  
ACSQHC  
ICSI  
Equipment Hazards:  
Rask et al. (2007)  
SHN (2010) |
| **Environment** | Increase observation, surveillance and follow up:  
ACSQHC (2009a, b)  
AGS (2010)  
ICSI (2010)  
Krauss et al. (2008)  
Lighting & Toileting Protocols:  
ACSQHC  
De Lepeleire, Bouwen, De Coninck, & Buntinx (2007)  
ICSI  
Krauss et al. |
Activity

| Footwear: | Quigley et al. (2010) |
| Safe Shoe: | ACSQHC (2009a, b) |
| Mobilization: | ACSQHC |
| Cameron et al. (2010) |
| Krauss et al. (2008) |

Clothing

| Hip Protectors: | ACSQHC (2009a, b) |
| ICSI (2010) |
| Sawka et al. (2007) |
| SHN (2010) |

Health Management

| Chronic Disease Management: | ICSI (2010) |
| Quigley et al. (2010) |
| Health Management: | ACSQHC (2009a) |
| Quigley et al. |
| SHN (2010) |
| Medication Management: | Krauss et al. (2005) |
| Quigley et al. |

Websites


SHN. (2010). Reducing Falls and Injuries From Falls, Getting Started Kit. Available at: http://www.saferhealthcarenow.ca/EN/Interventions/Falls/Pages/default.aspx

Intervention: Exercise

2.1 Nurses support physical training as a component of multi-factorial fall intervention program taking into consideration client risk factors.

(Level of evidence = Ib)

The discussion of evidence for Tai Chi and Exercise found on page 24 and 25 of the guideline has been changed and incorporated into the recommendation and discussion of evidence on exercise to reflect the current literature:

Discussion of Evidence

It is important to note that while exercise may provide a range of benefits to older adults, there is currently limited evidence to recommend for or against the use of exercise as a single intervention for the prevention of falls for the older adult in the long term care and acute care settings (Cameron et al. 2010).
Exercise is an important component of any multi-factorial fall prevention program and there is good evidence that older adults can benefit from strength, balance, gait and coordination training (ACSQHC, 2009a, b; AGS, 2010; Cameron et al., 2010; MOH, 2005). ACSQHC (2009a) reported better functional outcomes and reduced length of hospital stay for older medical inpatients who received an exercise program.

The client’s physical capabilities should be considered when exercises are selected, whether in group or as individualized exercise programs. It is important for physical training to be supervised and delivered by appropriately trained professionals. The exercises should be regularly reviewed with modifications as needed (ACSQHC, 2009a, b; AGS, 2010; Donat & Ozcan, 2007; MOS, 2005; Rapp et al., 2008; SHN, 2010). For example, although Tai Chi may be effective in reducing fall risk in relatively healthy older people, it may increase the risk of falling in more frail individuals (Gregory & Watson, 2009). It is possible that for individuals not accustomed to physical activity, an improvement in mobility may initially increase their risk for falls (AGS; Gregory & Watson; O’Mathuna, 2005). A systematic review by Cameron et al., (2010) of randomized controlled studies (RCTs) among nursing home facilities showed inconsistent findings on the effect of exercise on falls rates among clients receiving exercises.

Nurses within the interprofessional team should be aware that a variety of factors may influence the effectiveness of exercise programs as the literature suggests there are confounding variables for consideration. Some of the study limitations have been related to difference in frailty levels and cognitive abilities among participants, the use of a single versus multi-modal exercise, small sample size, and differences between study settings (Acute /Long Term Care) (ACSQHC, 2009a, b).

Hauer, Becker, Lindemann, & Beyer (2006), in a systematic review of randomized controlled trials (RCTs) on the use of physical training in clients with cognitive impairment outlined that studies showed an improvement in gait variables with significant reduction in activity restriction when multi-factorial interventions included physical training. However, only a limited number of these studies demonstrated a significant improvement in motor function or impact on rate of falls.

Cameron et al. (2010) conducted a systematic review and concluded inconsistent results relating to the effectiveness of exercise in reducing the rate or risk of falls possibly due to variations in the type of study and the sample population and intensity of exercise used. Cameron et al. reported from the review that the effectiveness of exercise for clients in long term care settings was uncertain but improvement in relation to falls and risk reduction was seen in hospital settings.

Kato, Izumi, Hiramatsu, & Shogenji (2006) conducted a study in a long term care setting to test an exercise program focused on increasing balance, mobility and muscle strength and found the program was effective for mobility, decreased postural sway and number of falls. The effect however, was attributed to foot exercises without verification of improvement in the muscle strength of the lower extremities.

### Intervention: Medications

2.2 Nurses, in consultation with the health care team, should conduct medication reviews on admission and periodically throughout the continuum of clients’ care to prevent falls among older adults in health care settings. Clients taking multiple and known high risk medications should be identified at higher risk for falls.

(Level of evidence = 1a)
The discussion of evidence for this recommendation found on page 26-27 of the guideline has been revised to reflect the additional literature support:

**Discussion of Evidence**

Over the past two decades, medications have been consistently associated with the increased risk of falls and fall injuries in various settings, from community to long term care. Medications can contribute to falls in the older adult through: a) intended mechanisms of action (direct effect such as the lowering of blood pressure, changes in heart rates, sedation, etc.), and b) unintended effects (side effects such as fatigue, dizziness, confusion, drowsiness, altered gait and balance, slow reaction, visual disturbances, orthostatic hypotension, urinary frequency and urgency, etc.) (SHN, 2010).

Older adults are more prone to these effects for various reasons, including metabolic changes such as decline in renal and hepatic function, and increased sensitivity and changes to response to medications (pharmacodynamics). Therefore, the risk increases with co-morbidities and polypharmacy which is defined as the use of four or more different prescription medications (Agashivala & Wu, 2009; AGS, 2010; ICSI, 2010; SHN, 2010). Polypharmacy has been shown to be an independent predictor for one or more falls due to the increase in the additive and synergistic effects of medications (Corsinovi et al., 2009). In one study, fall risks increased from 25% with the use of one medication to 60% with the use of six or more concurrent medications (Rhalimi, Helou, & Jaecker, 2009).

Nurses should be aware that any medication that affects cognitive, neuro-sensory, circulatory and musculoskeletal functions can potentially increase the risk for falls. In fact, over the years, different types of medications have been implicated as risk factors for falls. The Reducing Falls and Injuries from Falls Getting Started Kit (SHN, 2010, Appendix G, pg 76-78) provides a summary table on Medications and Risk for Falls, available at [http://www.saferhealthcarenow.ca/EN/Interventions/Falls/Pages/GSK.aspx](http://www.saferhealthcarenow.ca/EN/Interventions/Falls/Pages/GSK.aspx), which lists various classes of prescription and over the counter medications that may increase the risk of falls and their mechanisms of action.

The strongest risk associations with falls, recurrent falls and injurious falls seem to occur with the psychotropic medications, including sedatives, hypnotics, anxiolytics, antidepressants, and anti-psychotic drugs (Agashivala & Wu, 2009; AGS, 2010; Chen et al., 2009; Fonad, Robins Wahlin, Winblad, Emami, & Sandmark, 2008; Hien Le et al., 2005; Kallin et al., 2005; Liperoti et al., 2007; Sterke, Verhagen, van Beeck, & van der Cammen, 2008). The risk may increase with recent use (especially, the first few days), higher doses, and the concurrent use of other psychotropic drugs, particularly in the presence of other co-morbidities and functional impairments (AGS; Chen et al.; Hien Le et al.; ICSI, 2010; Sorock et al., 2009; Sterke et al.).

In recent years, newer classes of anti-depressant medications, such as Selective Serotonin Reuptake Inhibitors (SSRI), and atypical anti-psychotic drugs have been increasingly prescribed to minimize some of the adverse effects of tricyclic anti-depressants and conventional anti-psychotic drugs, respectively. However, evidence is building that these newer drugs may increase falls risk as much as their older counterparts (AGS, 2010; Hien Le et al., 2005; Kallin et al., 2005; Liperoti et al., 2007).

Therefore, it is recommended that the use of psychoactive medications be closely monitored, and as much as possible minimized, with appropriate tapering if indicated. Generally, the goal of conducting interprofessional medication reviews, on admission and periodically is to reduce the total number of medications, the use of high risk medications and the dose of individual medications while optimizing the treatment of underlying medical conditions (AGS, 2010; ICSI, 2010; SHN, 2010). Although, there are no
recently published randomized controlled trials of medication reduction and modification as a single intervention to reduce falls, this has been a key component of a number of effective multi-factorial and multi-component fall prevention strategies (AGS; ICSI; SHN).

**Additional Literature Support**

ACSQHC (2009 a, b)
Capezuti et al. (2008)
MOS (2005)

### Intervention: Hip Protectors

2.3 Nurses could consider the use of hip protectors to reduce hip fractures among those clients considered at high risk of fractures associated with falls; however, there is no evidence to support universal use of hip protectors among the older adult in healthcare settings.

(Level of evidence = Ib)

The discussion of evidence for this recommendation found on page 27 of the original guideline has been changed to reflect the current literature:

**Discussion of Evidence**

Hip protectors are external devices that are either hard-shelled or soft-shelled which disperse or absorb fall impact energy and decrease the risk of hip fracture especially in older adult clients at high risk for falling. Although there may be insufficient and mixed evidence to recommend the use of hip protectors as a single intervention, studies continue to demonstrate value for use as part of a multidimensional falls program (Cryer, Knox, & Stevenson, 2007; Garfinkel, Radomislfsky, Jamal, & Ben-Israel, 2008; Koike et al., 2009). Researchers have studied older adults in a variety of healthcare settings and witnessed a reduction in hip fractures with the provision of two-sided hip protectors for clients residing in long term care who have a history of falling, cognitive impairment and previous fractures when combined with high application and wearing compliance (Bentzen, Bergland, & Forsen, 2008; Bentzen, Forsen, Becker, & Bergland, 2008; Cryer et al.; Garfinkel et al.; Koike et al.; Sawka et al., 2010).

To promote the wearing of hip protectors, nurses should identify and address any client concerns such as sizing and fit; comfort and skin irritation; toileting; adherence and cost. Organizations must address staff concerns related to the care requirements for hip protectors such as correct application, maintenance, replacement and the monitoring required for adherence or resistance especially in clients who are cognitively impaired (ACSQHC, 2009a, b; Cryer et al., 2007; O’Halloran et al., 2007; Sawka et al., 2010).

The ACSQHC (2009a - Appendix 6,7,8,9, pg. 167-173; 2009b - Appendix 7,8,9,10, pg.153-159) contain assessment and justification checklists, care planning and observation records for use of hip protectors that help direct the nurse about client and staff concerns. Staff, clients and their families should all be included in educational sessions about hip protectors.

**Additional Literature Support**

ICSI (2010)
Sawka et al. (2007)
SHN (2010)
Intervention: Vitamin D

2.4 Nurses provide clients with information on the benefits of vitamin D supplementation in relation to reducing fall risk. In addition, information on dietary, life style, and treatment choice for the prevention of osteoporosis is relevant in relation to reducing the risk of fracture.

(Level of evidence = Ia)

The discussion of evidence for this recommendation found on page 27-28 of the guideline has been revised to reflect the additional literature support:

Discussion of Evidence

Vitamin D may improve muscle strength; balance and lower-extremity function; and prevent falls. Research continues to demonstrate that falls in older adults are multifactorial which validates the need to ensure older adults are receiving adequate vitamin D supplementation as one aspect of a falls intervention program (Broe et al., 2007; Cameron et al., 2010; Flicker et al., 2005). A systematic review by Bishchoff-Ferrari et al. (2009) on fall prevention identified a relationship between vitamin D and falls suggesting that a daily dose beginning with a supplement of 700 IU of vitamin D to achieve a 25-hydroxyvitamin D concentration of 60nmol/L resulted in a 19% reduction in falls. This review also identified a significant inverse relationship between the dose and the risk of sustaining at least one fall. Fractures are a serious consequence of falls. Sawka et al. (2010) found evidence that vitamin D3 >800 IU daily supplementation reduced the risk of hip fracture in clients who reside in long term care settings. The results of some studies on fall prevention and vitamin D are inconsistent due to differences in populations, doses and methods of capturing data on falls (Hanley et al., 2010).

Nurses should recommend vitamin D supplementation for clients since the production of vitamin D in the skin falls to near zero for four to five months of the year in Canada, which raises the risk for vitamin D insufficiency or deficiency (Hanley et al., 2010). The skin of older adults synthesizes vitamin D less effectively. Many nursing home clients rarely venture outside and the amount of vitamin D obtained through diet is minimal. A guideline statement from Osteoporosis Canada (Hanley et al.) recommends that for optimal vitamin D status, high risk/older adult Canadians require vitamin D supplementation of 20–50 μg (800–2000 IU) daily. Serum levels above 75nmol/L reflect optimal vitamin D intake/synthesis.

The 2010 clinical practice guidelines for the diagnosis and management of osteoporosis in Canada (Papaioannou et al, 2010) focus on preventing fragility fractures and their negative consequences. Nurses with the interprofessional team need to provide older adults at risk of fragility fractures from falls and osteoporosis with updated information about:

- available treatment and therapeutic options,
- investigations such as bone mineral density and biochemical testing (e.g. 25-hydroxyvitamin D),
- exercises focused on resistance (e.g. weight bearing exercise such as walking), core stability and balance,
- calcium intake primarily from diet and as necessary the addition of calcium supplementation,
- vitamin D supplementation, and
- pharmacologic treatments (e.g. bisphosphonates).
Nurses should inform the attending physician of any identified client fall risk factors and need for further assessment regarding daily Vitamin D supplementation and Vitamin D insufficiency or deficiency determinations.

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<tr>
<td>ACSQHC (2009a,b)</td>
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<td>SHN, 2010</td>
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**Intervention: Client Education**

2.5 All clients who have been assessed as high risk for falling receive education regarding their risk of falling.  
(Level of evidence = IV)

The discussion of evidence for this recommendation found on page 28 of the guideline has been revised to reflect the additional literature support:

**Discussion of Evidence**

Client and family education remains an important part of any multi-factorial falls prevention program. Client education as a single strategy for preventing falls and injury from falls continues to be weak and evidence shows the best falls prevention programs are multi-factorial with client education being one of the components (AGS, 2010; ICSI, 2010; Sawka et al., 2010).

Client education on fall prevention strategies can be provided in a variety of ways (written and verbal with use of technology) and in multiple settings (ICSI, 2010). Utilizing a person-centred approach in fall’s education can decrease the client’s fear of falling and result in an improvement of the client’s self-efficacy (SHN, 2010). Educational materials distributed to clients and family should consider factors that are influenced by the aging process (ACSQHC 2009a, b; Sawka et al., 2010; SHN; ICSI).

Assessment and subsequent education of the client by an occupational therapist and/or physiotherapist that focuses on the client’s home environment and personal equipment can maximize safety and ensure continuity from hospital to home (ACSQHC, 2009a, b).

Education should be aligned with an organization’s fall prevention program and geared towards keeping the client independent and safe (ACSQHC, 2009a, b). Terminology used should be easy for the client to comprehend.

Additional topics to consider in client education and training:
- definition of a fall (ACSQHC, 2009a, b),
- results of falls risk assessment and clients own risk factors (SHN, 2010),
- environmental risk factors (ACSQHC; Hill et al., 2009),
- safe transfers (SHN),
- safe use of assistive devices (SHN),
- basic foot care and footwear (ACSQHC, 2009a, pg.61-66),
- medication (ACSQHC; SHN),
- hip protector use (ACSQHC, 2009a, pg. 11-116 & e.g. Hip Protector Education Plan- Appendix 9, pg. 173),
- improving nutritional status (SHN),
- psychological issues (SHN).

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<th>Additional Literature Support</th>
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<tr>
<td>ACSQHC (2009b) – Foot Care (pg 57-62) and Hip Protector Use (107-114)</td>
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Intervention: Environment

2.6 Nurses include environmental modifications as a component of fall prevention strategies.  

(Level of evidence = Ib)

The discussion of evidence for this recommendation found on page 29 of the original guideline has been revised to reflect the additional literature support:

Discussion of Evidence

The current research supports that the interprofessional team, all hospital staff and the client/family scan the environment to ensure factors such as appropriate lighting for the time of day, clutter from chairs and tables, type of floor surface, use of handrails, client clothing including footwear and personal assistive devices are modified as appropriate to reduce falls and injuries from falls (ACSQHC, 2009a, b; AGS, 2010; Dykes, Carroll, Hurley, Benoit, & Middleton, 2009; Hill et al., 2009; ICSI, 2010; Johansson, Bachrach-Lindstrom, Struksnes, & Hedelin, 2009; MOS, 2005; Rapp et al., 2008; Rask et al., 2007; Sada, Uchiyama, Ohnishi, Ninomiya, & Masino, 2010; Sawka et al., 2010; SHN, 2010; Tzeng & Yin, 2008a, b, c). Organizations should establish checklists to guide the interprofessional team and hospital staff in completing environmental scans. SHN (2010, Appendix H-Environmental Falls Risk Assessment Checklist, pg 80-81) available at http://www.saferhealthcarenow.ca/EN/Interventions/Falls/Pages/default.aspx and ACSQHC (2009a, Appendix 4 - Environmental Checklist, pg. 161-163, Appendix 5 - Equipment Safety Checklist, pg. 165-166) are examples of checklists to support environmental scans. ACSQHC (2009a, pg. 158) has a Safe Shoe Checklist to support evaluation of shoes depending on an individual's activity level.

Nurses should be aware of how the time of day can impact the client’s risk for falls. Environmental issues related to lack of lighting during the evening or night and on cloudy days can increase falls and injury from falls in clients with visual impairments and sundowning syndrome (De Lepeleire et al., 2007; Frisina, Guellnitz, & Alverzo, 2010; Lester, Haq, Vadnerkar, & Feuerman, 2008). A retrospective study by Kallstrand-Ericson & Hildingh (2009) suggests that when organizations dim lights on evenings and nights it may actually contribute to the higher rate of falls. Nurses should assess and be aware of the client’s visual challenges and consider interventions in the plan of care associated with altering the room lighting and use of colour contrast to facilitate better visual input to prevent falls or injury from falls (Sada et al., 2010).

Nurses and other interprofessional team members (e.g. occupational therapist or physiotherapist) should assess the client’s cognitive ability to understand and fully participate in strategies related to environmental adjustments to prevent falls. Krauss et al. (2005) identified both client and hospital factors associated with significantly increasing the risk for falls. Client factors included gait/balance deficit or lower extremity problem; confusion; use of sedatives/hypnotic, diabetes medications; activity level of up with assistance and bathroom privileges and the hospital factor implicated was staffing - nurse-client ratio (Krauss et al.).

Additional Literature Support

Corsinovi et al. (2009)
Levtzion-Korach et al. (2009)
Education Recommendation

Nursing Education

3.0 Education on the prevention of falls and fall injuries should be included in nursing curricula and on-going education with specific attention to:

- Promoting safe mobility;
- Risk assessment;
- Interprofessional strategies;
- Risk management including post-fall follow-up;
- Alternatives to restraints and/or other restrictive devices;
- Frequent bedside nursing visits; and
- Safe mobility and toileting.

(Level of evidence = IV)

The discussion of evidence for this recommendation found on page 30 of the guideline has been revised to reflect the additional literature support:

Discussion of Evidence

Formal nursing education on falls prevention has been linked to a decrease in falls rates in acute care. Medical units with two or more geriatric-certified nurses had fall rates significantly less than on units without (Lange et al., 2009). Fall prevention self-study modules designed for nurses working in a hospital setting (including an enhanced protocol for fall prevention) increased knowledge and use of prevention strategies (e.g. client education pamphlets, use of bed alarms or low bed and floor mat, placement of the client in a room close to the care station, request for family members to sit with client, writing mobility needs on the client’s communication board, implementing a toileting schedule, reviewing medications, asking the physician to order physiotherapy and/or occupational therapy consultations for clients deemed at high risk for falls, providing walking aids if one used at home) and decreased fall rates after the education intervention (Krauss et al., 2008). Teamwork and knowledge of how to access equipment needed for a client’s fall prevention plan are important factors for nurses working in acute care (Dykes et al., 2009). Multi-factorial, interprofessional approaches are best in all healthcare settings (Cameron et al., 2010).

Education to ensure ongoing monitoring with frequent bedside nursing visits is important to prevent falls in individuals at risk (ICSI, 2010; SHN, 2010; Tzeng & Yin, 2008a). Evidence suggests using assessment tools to objectively identify individuals at risk is ideal (AGS, 2010; SHN). If organizations consider the use of sitters they must develop and provide formal educational programs. Sitters must understand the role behaviours and expectations. Nurses should know the role and the responsibilities of sitters (Harding, 2010). Sitters used for direct observation for falls prevention is an expensive and ineffective strategy to keep individuals safe who are at risk for injurious falls. There is no current research to support the practice of using sitters for constant observation (Harding, 2010). Hospital-paid sitters are not professionally regulated and use of family members can be difficult to manage as their participation is voluntary.

In long term care settings, multi-factorial interventions are effective at reducing falls rates, but only when provided by the interprofessional team. There is no evidence that interventions targeting single risk factors reduce falls. Multi-faceted education, provided to nurses about the occurrence of accidental falls, risk factors for falls, and
possible environmental or behavioural modifications can be effective when reinforced by reminders. Falls education sessions should be planned during times when nursing work is less intensive (e.g. afternoons and evenings) to allow most nurses to participate. If nurses are not able to attend, they should receive hard copies of the educational materials, and be contacted to see if they have any questions (Bouwen et al., 2008). Other factors that nurses must consider when working in long term care settings are the specific needs of clients with dementia who are at risk for falling, common factors in the environment that contribute to falling, and balancing safety needs with the client’s rights to integrity and autonomy (Johansson et al., 2009).

Nursing education programs on falls prevention should include management strategies (for older adults in both hospitals and residential care) for common fall risk factors and related clinical issues, e.g. balance and mobility limitations, cognitive impairment, continence issues, feet and footwear concerns, syncope, dizziness and vertigo, postural hypotension, medication, vision, environmental considerations, individual surveillance and observation flagging, sitter programs, response systems, restraints, minimizing injuries from falls (hip protectors), vitamin D and calcium supplementation, osteoporosis management and post-fall management (ACSQHC, 2009a, b; AGS, 2010; Harding, 2010).

### Additional Literature Support


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**Organization and Policy Recommendations**

#### Least Restraint

4.0 Nurses should not use side rails for the prevention of falls or recurrent falls for clients receiving care in health care facilities; however, other client factors may influence decision-making around the use of side rails.

(Level of evidence = IIb)

_The discussion of evidence for this recommendation found on page 31 of the original guideline has been revised to reflect the additional literature support:_

**Discussion of Evidence**

Literature continues to identify an increase in injurious falls with restrictive use of siderails (Bowers, Lloyd, Lee, Powell-Cope, & Baptiste, 2008; Bredthauer, Becker, Eichner, Koczy, & Nikolaus, 2005; Capezuti et al., 2007; Chen et al., 2009; Ng, McMaster & Heng, 2008). Ng et al. conducted a systematic review on factors contributing to fall and injury severity for the purposes of developing a policy and identified no difference in injury rate for falls when restrictive siderails were not in use thus supporting a policy for not using siderails as part of a fall prevention strategy.

Lowering the height of the bed rather than using siderails as a physical restraint continues to be supported in literature for reducing falls and injury from falls (Kallin et al., 2005; Rapp et al., 2008). A laboratory study using a mannequin from various heights of the bed by Bowers et al. (2008) identified a 25% higher chance of a serious head injury from a fall feet-first from a bed height of 97.5 cm onto a tiled surface and this risk increased by 40% when the height by bedrails was added. Bowers et al. identified a less than one percent chance or injury when a floor mat was used and suggest that the use of floor mats and height-adjustable beds positioned to the lowest height should be considered to decrease the risk of injury associated with falling from bed.
Siderails as a confining physical restraint should only be considered after taking into consideration the client’s characteristics and as the last option after alternative strategies have failed. Studies (ACSQHC, 2009a, b; Bredthauer et al., 2005; Wang & Moyle, 2005) continue to demonstrate no difference in rate of falls and an actual increase in fall related fractures with use of siderails. A study by Capezuti et al. (2007) recommended the use of an advanced practice nurse (APN) to support client-specific interventions and facility wide strategies to assist staff in the development of skills in falls risk assessment and the reduction of the use of restrictive side rails. Twelve months post-intervention, APN support resulted in a reduction in restrictive siderail use and injurious falls.

### Additional Literature Support

ICSI (2010)

Tzeng & Yin (2008c)

### 4.1 Organizations establish a corporate policy for least restraint that includes components of physical and chemical restraints.

(Level of evidence = IV)

**The discussion of evidence for this recommendation found on page 31-32 of the guideline has been revised to reflect the additional literature support:**

### Discussion of Evidence

Research continues to support that organizations should focus on client safety, understanding the cause of client’s behaviour and promoting the use of alternative strategies in individuals at risk for falls rather than trying to control behaviour through use of restraints (ACSQHC, 2009 a, b; Bredthauer et al., 2005; ICSI, 2010; Wang & Moyle, 2005). Research continues to demonstrate that organizations which focus on alternative strategies and remove physical restraints experience a reduction in use of restraints and injury from falls with no change in rate of falls (Bredthauer et al.; ICSI; Rask et al., 2007; Wang & Moyle).

ACSQHC (2009 a, b) identifies good policy and practice in regards to restraints as consisting of:

- understanding the cause of client behaviour;
- use of alternative strategies other than restraints to control behaviour;
- consideration of restraint use only after alternative strategies have been exhausted based on specific rationale that ensures limited restraint use (type of restraint used and duration of use) agreed upon by interprofessional team;
- limited use of drugs (minimal dose, minimal duration) when considered for restraint purposes with frequent review and monitoring to ensure drugs are not being substituted for quality care and use of alternative strategies to manage behaviours;
- use of hospital policy and protocol for physical or chemical restraint use; and
- interprofessional team collaboration and agreement with client/family on alternative strategies to prevent use of restraints only as a last resort.

Organizations should explore concerns with reducing the use of restraints with staff and clients/families to understand the issues in establishing a restraint free or least restraint practices as mandated by legislation. A qualitative study by Lai (2007) outlined that nurses use restraints as a means to mitigate the guilt feelings and stress associated with injury of an individual due to falls and the volume of work. Lai identified restraint use as part of unit culture outlining poor communication and guidance from administrators on least restraint policy and pressure from staff and the client’s family based
on fear of harm as reasons for resorting to use of restraints. Organizations need to support ongoing education for policy to develop a least restraint culture which includes education on the use of alternative strategies for different behaviour patterns of individuals (e.g. agitation, wandering, delirium and dementia) and understanding the barriers and facilitators to ensure restraint free or least restraint environments (ACSQHC, 2000a, b; Lai; Wang & Moyle, 2005).

<table>
<thead>
<tr>
<th>Website</th>
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<tbody>
<tr>
<td>Long-Term Care Homes Act, 2007 – Available at: <a href="http://www.e-laws.gov.on.ca/html/statutes/english/elaws_statutes_07l08_e.htm">http://www.e-laws.gov.on.ca/html/statutes/english/elaws_statutes_07l08_e.htm</a></td>
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### Organizational Support

5.0 Organizations create an environment that supports interventions for fall prevention that includes:

- Fall prevention programs;
- Staff education;
- Clinical consultation for risk assessment and intervention;
- Involvement of interprofessional teams in case management; and
- Availability of supplies and equipment such as transfer devices, high low beds, and bed exit alarms.

(Level of evidence = IV)

**The discussion of evidence for this recommendation found on page 32-33 of the guideline has been revised to reflect the additional literature support:**

### Discussion of Evidence

Organizational policies must promote a culture where all interprofessional team members and all other hospital employees have a role in their falls prevention and injury reduction program (SHN, 2010). The organization should have a post-fall policy detailing reporting mechanisms, action to be taken to ensure client safety, post-fall analysis and problem-solving by the interprofessional team (ACSQHC, 2009 a, b; SHN).

Cameron et al. (2010) identified that organizations must have an explicit culture that emphasizes the importance of reducing falls and injuries from falls. However, organizations must also consider allocation of a budget for this initiative in client safety as studies suggest that there is a possibility that implementing falls prevention programs without providing additional resources may increase rates of falls (Cameron et al.).

Organizations can appoint an individual or champion to lead and provide support for initiatives in falls prevention and injury reduction (ICSI, 2010). Interprofessional team members must be involved in the falls prevention program plan and receive education on strategies that also includes support for alternative approaches to use of restraints and support for a least restraints environment (ICSI; Lai, 2007; Ng et al., 2008).

The organization should engage in regular environmental safety checks so that necessary adjustments can be made to avoid falls caused by faulty equipment, lighting or surfaces...
Such checks can be done collaboratively with representatives from technical services, clinical staff and housekeeping, etc.

Accreditation Canada (2010) suggest programs can include staff training, balance and strength training for clients, and using bed exit alarms for clients who are at high risk of falling. Accreditation Canada recognises the costs associated with falls and fall related injuries as well as the impact on quality of life and evaluates organizational teams to ensure they have implemented a falls prevention strategy that:

- identifies the populations at risk,
- addresses the specific needs of the populations at risk,
- evaluates on an ongoing basis, the falls prevention strategy to identify trends, causes, and degree of injury,
- utilizes evaluation information to make improvements to the falls prevention strategy.

The influence of nursing staff levels in supporting reduction of falls and injury from falls remains inconclusive and is only one aspect to consider when reviewing the success of a falls prevention and injury reduction program (Lake & Cheung, 2006).

A study by Dykes et al. (2009) on staff perspectives around falls in long term care settings identified the following components that should be considered in a falls prevention program:

- communicate falls risk at shift change;
- be specific about the nature of help needed by client;
- clarify usefulness of visual cues/signage to identify clients at risk;
- be alert to need to maintain a safe environment for client;
- work as a team;
- involve client and family as part of team.

Organizations should review any contextual factors known to influence successful implementation of a falls prevention and injury reduction program and continually work with interprofessional team members to identify barriers and facilitators that enhance program outcomes.

A documentation tool should be adopted to guide and assist staff to follow through on components of the falls prevention and injury reduction program (Capezuti et al., 2007). Organizations should consider staff interest in falls prevention; time to coordinate the multiple program components; computer availability and staff proficiency; access to rehabilitative services; administrative stability and skills for quality improvement initiatives (Capezuti et al.; ICSI, 2010; Levzioni-Korach et al., 2009; SHN 2010).

Additional Literature Support
Bredthauer et al. (2005)
Krauss et al. (2008)
Lange et al. (2009)
MOS (2005)
Rask et al. (2007)
Wagner, Capezuti, Clark, Parmelee, & Ouslander (2008)
Wagner, Capezuti, Taylor, Sattin, & Ouslander (2005)
Wagner, Clark, Parmelee, Capezuti, & Ouslander (2005)
Wang & Moyle (2005)
### Medication Review

5.1 Organizations implement processes to effectively manage polypharmacy and psychotropic medications including regular medication reviews and exploration of alternatives to psychotropic medication for sedation.

(Level of evidence = IV)

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**The discussion of evidence for this recommendation found on page 33 of the guideline has been revised to reflect the additional literature support:**

### Discussion of Evidence

Every organization should take a proactive organization wide approach to medication review which should include but not be limited to:

- review of client’s medications on admission to any healthcare setting, during internal transfers, periodically during stay, on change in client condition, post fall incident and upon discharge (Accreditation Canada, 2010; SHN, 2010),
- involve the client/family in medication reviews (ACSQHC, 2009 a, b),
- older adults should have their medication modified appropriately to reduce the risk of falling (ACSQHC),
- clients on psychoactive medication should have their medication reviewed, and if possible, gradually discontinued (ACSQHC; AGS, 2010; ICSI, 2010).

### Additional Literature Support

Agashivala & Wu (2009)
Avidan et al. (2005)
Chen et al. (2009)
Corsinovi et al. (2009)
Fonad et al. (2008)
Hien Le et al. (2005)
Krauss et al. (2005)
MOS (2005)
Sorock et al. (2009)
Sterke et al. (2008)

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### RNAO Toolkit

6.0 Nursing best practice guidelines can be successfully implemented only where there are adequate planning, resources, organizational and administrative support, as well as appropriate facilitation. Organizations may wish to develop a plan for implementation that includes:

- An assessment of organizational readiness and barriers to education.
- Involvement of all members (whether in a direct or indirect supportive function) who will contribute to the implementation process.
- Dedication of a qualified individual to provide the support needed for the education and implementation process.
- Ongoing opportunities for discussion and education to reinforce the importance of best practices.
- Opportunities for reflection on personal and organizational experience in implementing guidelines.

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23
In this regard, RNAO (through a panel of nurses, researchers and administrators) has developed the Toolkit: Implementation of Clinical Practice Guidelines based on available evidence, theoretical perspectives and consensus. The Toolkit is recommended for guiding the implementation of the RNAO guideline Prevention of Falls and Fall Injuries in the Older Adult. (Level of evidence = IV)

Website
RNAO, Falls Prevention Toolkit Available at: http://ltctoolkit.rnao.ca/resources/falls#Planning-Implementation-Tools


The Review Panel has identified the following updates in bold as follows to:

- Definition of Terms: Added Typical and Atypical Antipsychotic, Client and Interprofessional.
- Table 3: Risk Factors and Associated Odds of Falling: Changed for Risk Factor: Balance and gait – hospital.
- Appendix C: Tools for Risk Assessment and Appendix E: Resources and Useful Websites: Added website links to additional resources.

### Definition of Terms: Antipsychotics:

- **Typical Antipsychotic** medications are used to treat schizophrenia and schizophrenia-related disorders since the mid-1950’s and are also called conventional “typical” antipsychotics. Some commonly used include:
  - Chlorpromazine (Thorazine)
  - Haloperidol (Haldol)
  - Perphenazine (generic only)
  - Fluphenazine (generic only)

  In the 1990’s, new antipsychotic medications were developed. These new medications are called second generation, or “atypical” antipsychotics.

- **Atypical antipsychotic medications** are sometimes used to treat symptoms of bipolar disorder and are called “atypical” to set them apart from earlier “conventional or first generation antipsychotic medications which are:
  - Olanzapine (Zyprexa),
  - Aripiprazole (Abilify),
  - Quetiapine (Seroquel)
  - Risperidone (Risperdal)
  - Ziprasidone (Geodon)
  - Paliperidone (Invega)


**Client:** Inclusive of individuals (patient, resident, client), families/significant others, groups, communities, and populations (RNAO, rev., 2006, pg. 12).

**Interprofessional:** Refers to the provision of comprehensive health services to clients by multiple health caregivers who work collaboratively to deliver quality care within and across settings (Interprofessional Care Steering Committee, 2007).
Antipsychotics: Definition of Terms:

Table 3: Risk Factors and Associated Odds of Falling found on page 23 of original guideline changed as follows in BOLD:

<table>
<thead>
<tr>
<th>Risk Factor</th>
<th>Hospitalized</th>
<th>Long Term Care Settings</th>
</tr>
</thead>
<tbody>
<tr>
<td>Balance and gait</td>
<td><strong>Current evidence suggests that this is a risk factor for hospitalized clients</strong> (Chen et al., 2009; Corsinovi et al.; 2009; Kobayashi et al., 2009; Krauss et al., 2005)</td>
<td>Unsteady gait (OR, 1.13) Transfer independence (OR, 1.49) Wheelchair independence (OR, 1.39) (RNAO, 2005)</td>
</tr>
</tbody>
</table>

Appendix: C: Tools for Risk Assessment found on page 53 and Appendix E: Resources and Useful Websites found on page 55 has been updated with added links as follows:

Website:
SHN. (2010). Reducing Falls and Injuries From Falls, Getting Started Kit. Available at: [http://www.saferhealthcarenow.ca/EN/Interventions/Falls/Pages/default.aspx](http://www.saferhealthcarenow.ca/EN/Interventions/Falls/Pages/default.aspx)


References


Scott, V. et al. (2007) Canadian Falls Prevention Curriculum, BCIRPU. Vancouver, BC. Available at: [http://www.injuryresearch.bc.ca](http://www.injuryresearch.bc.ca)


Scott, V., Wagar, L., & Elliott, S. (2010). *Falls & Related Injuries among Older Canadians: Fall related Hospitalizations & Intervention Initiatives*. Prepared on behalf of the Public Health Agency of Canada, Division of Aging and


